



Roger A. Bergman
Chairperson

Matthew R. Fenske
Vice-Chairperson

Ottawa County

Board of Commissioners

To All Ottawa County Commissioners:

The Ottawa County Board of Commissioners will meet on **Tuesday, October 12, 2021 at 1:30 PM** for the regular October meeting of the Board at the Ottawa County Fillmore Street Complex in West Olive, Michigan and via Zoom.

The Agenda is as follows:

1. Call to Order by the Chairperson
2. Invocation – Commissioner DeJong
3. Pledge of Allegiance to the Flag
4. Roll Call
5. Presentation of Petitions and Communications
6. Public Comments
7. Approval of Agenda
8. Actions and Reports

A. Consent Resolutions:

From the County Clerk/Register

1. Board of Commissioners Meeting Minutes

Suggested Motion:

To approve the Minutes of the [September 30, 2021](#) Board of Commissioners meeting.

Francisco C. Garcia Joseph S. Baumann Douglas R. Zylstra Allen Dannenberg Randall J. Meppelink
Kyle J. Terpstra James H. Holtvluwer Philip D. Kuyers Gregory J. DeJong

12220 Fillmore Street | West Olive, Michigan 49460 | 616-738-4898 | miOttawa.org

From Administration

2. [Accounts Payable for September 20 to October 1, 2021](#)

Suggested Motion:

To approve the general claims in the amount of \$6,564,964.98 as presented by the summary report for September 20 to October 1, 2021.

3. [Post-Execution Ratification of Contracts under Section IV\(D\)\(2\) of the Ottawa County Contracting Authorization and Form Policy](#)

Suggested Motion:

To ratify all contracts currently pending on the post-execution ratification list as authorized under Section IV(D)(2) of the Ottawa County Contracting Authorization and Form Policy that was adopted on April 14, 2020.

B. Public Hearings: None

C. Action Items:

From Planning and Policy Committee

1. [Email Retention and Archiving Rule Policy](#)

Suggested Motion:

To approve the revised Email Retention and Archiving Rule Policy (second reading).

2. [HIPAA Compliance Policy](#)

Suggested Motion:

To approve the revised HIPAA Compliance Policy (second reading).

3. [Drone Purchase and Usage Policy](#)

Suggested Motion:

To approve the Drone Purchase and Usage Policy (second reading).

4. [Photography in County Buildings Policy](#)

Suggested Motion:

To approve the Photography in County Buildings Policy (second reading).

D. *Appointments:*

From the County Clerk/Register

1. *Appointment to Board of County Canvassers*

Suggested Motion:

*To place into nomination the name(s) of (*indicates recommendation from the County Clerk/Register):*

**James Searls*

Jan Gwadacus

Joan Deters

and to select one (1) to fill one (1) Democratic Party Appointment vacancy on the Board of County Canvassers beginning November 1, 2021 and ending October 31, 2025 (four (4) year term).

2. *Appointment to Board of County Canvassers*

Suggested Motion:

*To place into nomination the name(s) of (*indicates recommendation from the County Clerk/Register):*

**Laurie Breuker*

Cynthia Davis

Laurette DeBoer

and to select one (1) to fill one (1) Republican Party Appointment vacancy on the Board of County Canvassers beginning November 1, 2021 and ending October 31, 2025 (four (4) year term).

3. *Appointment to the Ottawa County Jury Board*

Suggested Motion:

To nominate and appoint Kim Nagy to the Ottawa County Jury Board representing the Democratic Party for a six (6) year term, beginning immediately and ending April 30, 2027.

E. *Discussion Items: None*

9. *Report of the County Administrator*

A. *2020-2021 Strategic Business Plan Update*

10. *General Information, Comments, and Meetings Attended*

11. *Public Comments*

12. *Adjournment*

**PROPOSED
PROCEEDINGS OF THE OTTAWA COUNTY
BOARD OF COMMISSIONERS
SEPTEMBER SESSION – SECOND DAY**

The Ottawa County Board of Commissioners met on Thursday, September 30, 2021, at 1:30 p.m. and was called to order by the Chair.

Allen Dannenberg pronounced the invocation.

The Clerk/Register led in the Pledge of Allegiance to the Flag of the United States of America.

Present at roll call: Francisco Garcia, Joseph Baumann, Douglas Zylstra, Allen Dannenberg, Randall Meppelink, Kyle Terpstra, James Holtvluwer, Gregory DeJong, Philip Kuyers, Roger Bergman, Matthew Fenske. (11)

Presentation of Petitions and Communications

1. Marcie Verbeek, Human Resource Director, presented an update on the Search Committee for a new County Administrator.

Public Comments

Chairman Roger Bergman read a statement that the County hired formal Attorney General Mike Cox to independently review Corporate Counsel's legal opinion regarding the Health Department's limited mask mandate. Attorney General Cox agreed with the opinion.

Public comments were made by the following:

1. David Barnosky
2. Donna Rotman
3. Mick Bricker
4. Allison Miedema
5. Sylvia Rhodea
6. Emily Underhill
7. Sara Westhuis
8. Paula Bomhof
9. Christi Meppelink
10. Gretchen Cosby
11. Harvey Nikkel
12. Jeff King

B/C 21-218 Matthew Fenske moved to approve the agenda of today as presented. The motion passed.

B/C 21-219 Matthew Fenske moved to approve the following Consent Resolutions:

1. To approve the Minutes of the September 14, 2021 Board of Commissioners meeting.
2. To approve the general claims in the amount of \$23,781,972.23 as presented by the summary report for August 30, 2021 to September 17, 2021.

The motion passed as shown by the following votes: Yeas: Philip Kuyers, James Holtvluwer, Randall Meppelink, Kyle Terpstra, Francisco Garcia, Douglas Zylstra, Matthew Fenske, Allen Dannenberg, Gregory DeJong, Joseph Baumann, Roger Bergman. (11)

B/C 21-220 Gregory DeJong moved to approve the revised Email Retention and Archiving Rule Policy (first reading). The motion passed as shown by the following votes: Yeas: Douglas Zylstra, Matthew Fenske, Joseph Baumann, Philip Kuyers, Francisco Garcia, Randall Meppelink, Allen Dannenberg, Gregor DeJong, James Holtvluwer, Kyle Terpstra, Roger Bergman. (11)

B/C 21-221 Gregory DeJong moved to approve the revised HIPAA Compliance Policy (first reading). The motion passed as shown by the following votes: Yeas: Joseph Baumann, Francisco Garcia, Randall Meppelink, James Holtvluwer, Gregory DeJong, Douglas Zylstra, Kyle Terpstra, Philip Kuyers, Allen Dannenberg, Matthew Fenske, Roger Bergman. (11)

B/C 21-222 Gregory DeJong moved to approve the Drone Purchase and Usage Policy (first reading). The motion passed as shown by the following votes: Yeas: Kyle Terpstra, James Holtvluwer, Douglas Zylstra, Philip Kuyers, Gregory DeJong, Randall Meppelink, Joseph Baumann, Matthew Fenske, Allen Dannenberg, Francisco Garcia, Roger Bergman. (11)

B/C 21-223 Gregory DeJong moved to approve the Photography in County Buildings Policy (first reading). The motion passed as shown by the following votes: Yeas: Philip Kuyers, James Holtvluwer, Francisco Garcia, Allen Dannenberg, Gregory DeJong, Kyle Terpstra, Randall Meppelink, Joseph Baumann, Matthew Fenske, Roger Bergman. (10)

Nays: Douglas Zylstra. (1)

B/C 21-224 Joseph Baumann moved to approve the 2021 budget adjustments per the attached schedule. The motion passed as shown by the following votes: Yeas: Francisco Garcia, Matthew Fenske, Douglas Zylstra, Allen Dannenberg, Kyle Terpstra, Randall Meppelink,

James Holtvluwer, Joseph Baumann, Phillip Kuyers, Gregory DeJong, Roger Bergman. (11)

- B/C 21-225 Joseph Baumann moved to approve and authorize the Board Chairperson and Clerk/ Register to sign the Resolution regarding the distribution of convention facility tax revenues to counties under Public Acts 106 and 107 of 1985. The motion passed as shown by the following votes: Yeas: Allen Dannenberg, Joseph Baumann, Matthew Fenske, Randall Meppelink, Kyle Terpstra, Francisco Garcia, Gregory DeJong, James Holtvluwer, Douglas Zylstra, Philip Kuyers, Roger Bergman. (11)
- B/C 21-226 Joseph Baumann moved to approve and authorize the Board Chairperson and Clerk/ Register to sign the Resolution for the Fiscal Year 2022 General Appropriations Act. The motion passed as shown by the following votes: Yeas: Philip Kuyers, James Holtvluwer, Randall Meppelink, Kyle Terpstra, Francisco Garcia, Douglas Zylstra, Matthew Fenske, Allen Dannenberg, Gregory DeJong, Joseph Baumann, Roger Bergman. (11)
- B/C 21-227 Joseph Baumann moved to approve and authorize the Board Chairperson and Clerk/ Register to sign the Lease Agreement with AT&T to install its wireless broadband equipment on the County's Fillmore Street/Stanton Street Communications Tower at a rate of \$2,100 per month for a minimum of five (5) years, with automatic renewal for at least an additional five (5) years. The motion passed as shown by the following votes: Yeas: Matthew Fenske, Douglas Zylstra, Philip Kuyers, Francisco Garcia, James Holtvluwer, Joseph Baumann, Gregory DeJong, Randall Meppelink Allen Dannenberg, Kyle Terpstra, Roger Bergman. (11)
- B/C 21-228 Joseph Baumann moved to approve and authorize the Board Chairperson and Clerk/ Register to sign the Resolution approving an Agricultural Processing Renaissance Zone for a 15-year term in Holland Charter Township. The motion passed as shown by the following votes: Yeas: Douglas Zylstra, Matthew Fenske, Joseph Baumann, Philip Kuyers, Francisco Garcia, Randall Meppelink, Allen Dannenberg, Gregory DeJong, James Holtvluwer, Kyle Terpstra, Roger Bergman. (11)
- B/C 21-229 Joseph Baumann moved to approve the implementation of select fees on October 1, 2021 for the Family Planning services. The motion passed as shown by the following votes: Yeas: Joseph Baumann Francisco Garcia, Randall Meppelink, James Holtvluwer, Gregory DeJong, Douglas Zylstra, Kyle Terpstra, Philip Kuyers, Allen Dannenberg, Matthew Fenske, Roger Bergman. (11)

The County Administrator's report was presented.

- B/C 21-230 Philip Kuyers moved to waive the attorney/client privilege and release the legal opinion from the Mike Cox law firm to the public. The motion passed as shown by the following

votes: Yeas: Kyle Terpstra, James Holtvluwer, Douglas Zylstra, Philip Kuyers, Gregory DeJong, Randall Meppelink, Joseph Baumann, Matthew Fenske, Allen Dannenberg, Francisco Garcia, Roger Bergman. (11)

Several Commissioners commented on meetings attended and future meetings to be held.

Public Comments

Public comments were made by the following:

1. Gwen Snowing

Zoom comments were made by the following:

2. Cindy Lohrke
3. Becky Deater
4. Shelby Visser
5. Ross Reuterdahl
6. Lanae Monera
7. David Rhodea
8. Melissa
9. Liz Raney
10. Kathleen Kasnowicz
11. Sandra Betten

Chairman Bergman adjourned the meeting at 2:49 p.m.

JUSTIN F. ROEBUCK, Clerk/Register
Of the Board of Commissioners

ROGER A. BERGMAN, Chairman
Of the Board of Commissioners

Action Request



| | |
|-------------------------------|--|
| Committee: | Board of Commissioners |
| Meeting Date: | 10/12/2021 |
| Requesting Department: | Fiscal Services |
| Submitted By: | Karen Karasinski |
| Agenda Item: | Accounts Payable for September 20 to October 1, 2021 |

Suggested Motion:

To approve the general claims in the amount of \$6,564,964.98 as presented by the summary report for September 20 to October 1, 2021.

Summary of Request:

Approve vendor payments in accordance with the Ottawa County Purchasing Policy.

Financial Information:

| | | | | | |
|----------------------------|-----------------------------------|---------------------|---|-----------------------------|------------------------------|
| Total Cost: \$6,564,964.98 | General Fund Cost: \$6,564,964.98 | Included in Budget: | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
|----------------------------|-----------------------------------|---------------------|---|-----------------------------|------------------------------|

If not included in budget, recommended funding source:

Action is Related to an Activity Which Is: Mandated Non-Mandated New Activity

Action is Related to Strategic Plan:

Goal: Goal 1: To Maintain and Improve the Strong Financial Position of the County.

Objective: Goal 1, Objective 1: Maintain and improve current processes and implement new strategies to retain a balanced budget.

Administration: Recommended Not Recommended Without Recommendation

County Administrator:

Committee/Governing/Advisory Board Approval Date:

Total CHECKS | EFTs | WIRES



Dates: September 20, 2021

to October 1, 2021

I hereby certify that to the best of my knowledge the List of Audit Claims, a summary of which is attached, constitutes all claims received and audited for payment. The amount of claims to be approved totals:

\$6,564,964.98

1,381 INVOICES

6,564,964.98

Users, Karen
Karasinski

Digitally signed by Users,
Karen Karasinski
Date: 2021.10.05
08:26:30 -04'00'

Karen Karasinski
Fiscal Services Director


Date

We hereby certify that the Board of Commissioners has approved the claims on Tuesday, October 12, 2021

Roger Bergman, Chairperson
Board of Commissioners

Justin Roebuck
Clerk/Register of Deeds

Total CHECKS | EFTs | WIRES

| | | |
|---|-----------------------------------|--------------|
|  Ottawa County <i>Where You Belong.</i> | Dates: September 20, 2021 | |
| | to October 1, 2021 | |
| Total of all funds: \$6,564,964.98 | | |
| 0000 | TREASURY FUND | 5,173.15 |
| 1010 | GENERAL FUND | 415,797.65 |
| 1500 | CEMETERY TRUST | 0.00 |
| 2081 | PARKS & RECREATION | 15,010.99 |
| 2160 | FRIEND OF COURT | 423.73 |
| 2180 | OTHER GOVERNMENTAL GRANTS | 111,984.93 |
| 2210 | HEALTH | 126,209.94 |
| 2220 | MENTAL HEALTH | 1,511,214.37 |
| 2221 | MENTAL HEALTH MILLAGE | 322,152.03 |
| 2225 | SUBSTANCE USE DISORDER | 100,796.67 |
| 2271 | SOLID WASTE CLEAN-UP | 21,252.83 |
| 2272 | LANDFILL TIPPING FEES | 15,296.08 |
| 2340 | FARMLAND PRESERVATION | 237,911.00 |
| 2430 | BROWNFIELD REDEVELOPMENT | 0.00 |
| 2444 | INFRASTRUCTURE FUND | 0.00 |
| 2550 | HOMESTEAD PROPERTY TAX | 0.00 |
| 2560 | REGISTER OF DEEDS AUTOMATION FUND | 0.00 |
| 2600 | PUBLIC DEFENDERS OFFICE | 7,663.19 |
| 2620 | FEDERAL FOREITURE | 0.00 |
| 2602 | WEMET | 40,474.54 |
| 2630 | SHERIFF GRANTS & CONTRACTS | 8,440.69 |
| 2631 | CONCEALED PISTOL LICENSING | 0.00 |
| 2901 | DEPT OF HUMAN SERVICES | 0.00 |
| 2920 | CHILD CARE - PROBATE | 37,212.39 |
| 2970 | DB/DC CONVERSION | 0.00 |

Action Request



| | |
|-------------------------------|--|
| Committee: | Board of Commissioners |
| Meeting Date: | 10/12/2021 |
| Requesting Department: | Corporation Counsel |
| Submitted By: | Doug Van Essen |
| Agenda Item: | Post-Execution Ratification of Contracts under Section IV(D)(2) of the Ottawa County Contracting Authorization and Form Policy |

Suggested Motion:

To ratify all contracts currently pending on the post-execution ratification list as authorized under Section IV(D)(2) of the Ottawa County Contracting Authorization and Form Policy that was adopted on April 14, 2020.

Summary of Request:

Ottawa County has adopted a Contracting Authorization and Form Policy to handle the thousands of contracts that require the approval of the Ottawa County Board of Commissioners each year. Section IV (D)(2) of this Policy enables contracts of the courts and community mental health, contracts under \$70,000 in total, emergency contracts, grants renewals and other contracts that require prompt execution under exceptional circumstances to be signed by the Board Chairperson and County Clerk and then to be ratified after execution by the Board of Commissioners at its next meeting. The attached list is a collection of these contracts and is being approved in total pursuant to the consent rules of the Board.

Financial Information:

| | | | | | |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|
| Total Cost: \$0.00 | General Fund Cost: \$0.00 | Included in Budget: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input checked="" type="checkbox"/> N/A |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|

If not included in budget, recommended funding source:

Action is Related to an Activity Which Is: Mandated Non-Mandated New Activity

Action is Related to Strategic Plan:

Goal: Goal 1: To Maintain and Improve the Strong Financial Position of the County.

Objective: Goal 1, Objective 1: Maintain and improve current processes and implement new strategies to retain a balanced budget.

Administration: Recommended Not Recommended Without Recommendation
County Administrator: *John Sn*

Committee/Governing/Advisory Board Approval Date:

Board Ratification Contracts

Report Start Date: 9/1/2021

Report End Date: 9/30/2021

Total Amount of Contracts: \$3,254,364.64

| CONTRACT | REQUESTED DATE | APPROVED DATE | REQUESTING AGENCY | VENDOR/3RD PARTY | CONTRACT AMOUNT | OBJECT CODE | PURPOSE |
|----------|----------------|---------------|-------------------------|--|-----------------|-------------|---|
| 1298 | 09/09/2021 | 09/15/2021 | PUBLIC HEALTH | DR. BRIAN PETROELJE | \$50,000.00 | 821000 | Dr. Petroelje will provide medical services for the Tuberculosis (TB) program. |
| 1299 | 09/10/2021 | 09/17/2021 | COMMUNITY ACTION AGENCY | MACATAWA RESOURCE CENTER | \$4,947.60 | 808000 | Contract for warehouse space for the CSFP program. |
| 1302 | 09/13/2021 | 09/22/2021 | SHERIFFS DEPARTMENT | CANTEEN SERVICES | \$185,000.00 | 729000 | This contract is for commissary services at the Ottawa County Adult Correctional Facility. Canteen Services was selected as the vendor through RFP 21-02. |
| 1306 | 09/16/2021 | 09/23/2021 | COMMUNITY ACTION AGENCY | DEK CONSTRUCITON, LLC | \$75,000.00 | 733000 | Weatherization contract with DEK Construction. |
| 1307 | 09/16/2021 | 09/23/2021 | COMMUNITY ACTION AGENCY | RANDY SCHOLMA BUILDER | \$75,000.00 | 733000 | Weatherization contract with Randy Scholma Builder |
| 1308 | 09/16/2021 | 09/23/2021 | COMMUNITY ACTION AGENCY | SALE'S HEATING AND COOLING | \$75,000.00 | 733000 | Weatherization contract with Sale's Heating and Cooling |
| 1309 | 09/16/2021 | 09/22/2021 | COMMUNITY ACTION AGENCY | MICHIGAN STATE HOUSING DEVELOPMENT AUTHORITY | \$268,653.00 | 561000 | Amendment #3 to HML-2020-Ottawa C-5826-ESF for a budget adjustment |

| | | | | | | | |
|------|------------|------------|------------------------------|--|----------------|--------|--|
| 1310 | 09/16/2021 | 09/22/2021 | DIVERSITY EQUITY INCL OFFICE | MULTI TRAINING SYSTEMS | \$52,500.00 | 808000 | Multi-Training Systems (MTS), a consulting firm out of Southfield, MI, will facilitate a 12-month training and consultation initiative with eight local government agencies in Ottawa County. MTS will guide participants to develop tools and resources that help assess their policies and procedures through conducting research, developing reports, monthly learning sessions, and ultimately assist in the development of individual action plans. |
| 1311 | 09/17/2021 | 09/23/2021 | JUVENILE COURT | SAGINAW CHIPPEWA INDIAN TRIBE | \$1,300,000.00 | 676015 | Detention Bed Rental at the Ottawa County Juvenile Detention Center - Per Bed Usage |
| 1312 | 09/20/2021 | 09/23/2021 | COMMUNITY ACTION AGENCY | MICHIGAN STATE HOUSING DEVELOPMENT AUTHORITY | \$270,359.00 | 505000 | Emergency Solutions Grant with MSHDA for 2021-22 |
| 1320 | 09/21/2021 | 09/23/2021 | PUBLIC HEALTH | EQ INDUSTRIAL SERVICES | \$88,000.00 | 808000 | picking up household hazardous waste from the 6 OCDPH sites that take the items from the public. |
| 1321 | 09/21/2021 | 09/23/2021 | COMMUNITY ACTION AGENCY | MICHIGAN STATE HOUSING DEVELOPMENT AUTHORITY | \$4,500.00 | 505000 | Agreement with MSHDA for an Americorp position |
| 1322 | 09/21/2021 | 09/28/2021 | COMMUNITY ACTION AGENCY | COMMUNITY ACTION HOUSE | \$53,535.00 | 808000 | Emergency Solutions Grant with Community Action House for 2021-2022 |
| 1327 | 09/21/2021 | 09/23/2021 | ADMINISTRATOR | C. I. ASSOCIATES | \$23,700.00 | 2230 | Train and facilitate the Improvement Kata and Coaching Kata process and projects. Continue to operationalize, scale and sustain Kata county wide. Provide Kata support including virtual and in person training materials and coaching to assist teams. Continue developing kata program strategy. |
| 1328 | 09/22/2021 | 09/29/2021 | PUBLIC HEALTH | SURESCAN LLC | \$6,120.00 | 739000 | SureScan is an ID checking system (for alcohol and tobacco) that we are trying to bring to OC, with the Health Promotion team. |

| | | | | | | | |
|------|------------|------------|-------------------------|---|--------------|--------|---|
| 1330 | 09/23/2021 | 09/29/2021 | PUBLIC HEALTH | EMOCHA MOBILE HEALTH INC | \$22,248.00 | 830000 | Two year contract for HIPAA approved video directly observed therapy (DOT) for tuberculosis (TB) treatment. |
| 1319 | 09/20/2021 | 09/23/2021 | INNOVATION & TECHNOLOGY | AVI SPL | \$31,012.05 | | Contract for the upgrade of Ottawa County's Board Room. AVI SPL had installed the original AV/Audio system which is currently outdated and not functioning. AVI SPL will consult with users, design and engineer, install, and support and manage updated system. |
| 1296 | 09/08/2021 | 09/15/2021 | COMMUNITY ACTION AGENCY | RESILIENCE | \$1,000.00 | 607000 | Contract for Housing Quality Standards inspections for Resilience. This is a fee per service contract. |
| 1295 | 09/08/2021 | 09/15/2021 | COMMUNITY ACTION AGENCY | MICHIGAN DEPARTMENT OF HEALTH AND HUMAN SERVICES | \$118,104.00 | 505000 | LIHEAP Weatherization contract with MDHHS for PY2022 |
| 1293 | 09/07/2021 | 09/13/2021 | COMMUNITY ACTION AGENCY | NORTHGATE APPLIANCE | \$24,999.99 | 733000 | Contract to provide refrigerators for the Weatherization program |
| 1292 | 09/03/2021 | 09/13/2021 | HUMAN RESOURCES | MIKA MEYERS | \$0.00 | 807000 | To approve the attached agreement with Mika Meyers to provide as-needed legal services. |
| 1291 | 09/01/2021 | 09/13/2021 | PUBLIC HEALTH | TELEDIRECT COMMUNICATIONS | \$0.00 | 808000 | These phone answering services will help field the influx of phone calls to Ottawa County Department of Public Health |
| 1289 | 09/01/2021 | 09/13/2021 | COMMUNITY ACTION AGENCY | DBA TECHNOLOGIES | \$12,600.00 | 808000 | Software support agreement for 3 years with DBA Technologies |
| 1288 | 09/01/2021 | 09/13/2021 | COMMUNITY ACTION AGENCY | MICHIGAN DEPARTMENT OF HEALTH AND HUMAN SERVICES | \$36,000.00 | 505000 | MEAP Grant for the year 2022, Part 1 |
| 1287 | 09/01/2021 | 09/13/2021 | COMMUNITY ACTION AGENCY | MICHIGAN DEPARTMENT OF HEALTH AND HUMAN SERVICES | \$10,270.00 | 505000 | Weatherization LIHP3 Admin contract for 2022 |
| 1286 | 09/01/2021 | 09/13/2021 | COMMUNITY ACTION AGENCY | MICHIGAN DEPARTMENT OF HEALTH AND HUMAN SERVICES | \$360,616.00 | 505000 | Community Services Block Grant for 2022, Part 1 |
| 1285 | 08/27/2021 | 09/13/2021 | PUBLIC HEALTH | EGLE MI DEPT OF ENVIRONMENT, GREAT LAKES AND ENERGY | \$7,700.00 | 821000 | Continued monitoring of Ottawa County Beaches for biological contamination by the Environmental Health Dept. |

| | | | | | | | |
|------|------------|------------|-------------------------|-----------------------------|-------------|--------|---|
| 1282 | 08/25/2021 | 09/07/2021 | COMMUNITY ACTION AGENCY | GOOD SAMARITAN MINISTRIES | \$75,000.00 | 808000 | Contract with Good Samaritan Ministries to provide services and case management for individuals experiencing homelessness. |
| 1281 | 08/19/2021 | 09/13/2021 | HUMAN RESOURCES | VIDCRUITER | \$2,500.00 | 808000 | The Human Resources Department is requesting to utilize electronic reference checking service through VidCruiter for FY22. Through this agreement, HR will purchase reference checking for 100 hires for the price of \$2,500 per year. |
| 1280 | 08/19/2021 | 09/13/2021 | FRIEND OF THE COURT | CEDRIC SCOTT | \$20,000.00 | 809000 | Cedric Scott of Lazarusman Counseling will provide court ordered substance abuse assessments and supervised parenting time services and provide reports to the Friend of the Court upon request. |
| 0 | 09/20/2020 | 09/20/2020 | OTTAWA COUNTY | DEWPOINT | | | MASTER SERVICES AGREEMENT WITH DEWPOINT |
| 0 | 09/20/2021 | 09/20/2021 | COMMUNITY MENTAL HEALTH | WEDGWOOD CHRISTIAN SERVICES | | | DCW AMENDMENT |
| 0 | 09/20/2021 | 09/20/2021 | COMMUNITY MENTAL HEALTH | SAMARITAS | | | BLOCK GRANT AMENDMENT |
| 0 | 09/20/2021 | 09/20/2021 | COMMUNITY MENTAL HEALTH | REVEL | | | MASTER VENDOR AMENDMENT FY2021 |
| 0 | 09/20/2021 | 09/20/2021 | COMMUNITY MENTAL HEALTH | REVEL | | | MASTER VENDOR AGREEMENT FY2022 |
| 0 | 09/20/2021 | 09/20/2021 | COMMUNITY MENTAL HEALTH | REACH FOR RECOVERY | | | FY2021 AMENDMENT |
| 0 | 09/20/2021 | 09/20/2021 | COMMUNITY MENTAL HEALTH | BETHANY CHRISTIAN SERVICES | | | MOU GRANT PASSTHROUGH TEMPLATE |
| 0 | 09/20/2021 | 09/20/2021 | COMMUNITY MENTAL HEALTH | ARBOR CIRCLE | | | FY2021 BLOCK GRANT COVID19 SUPPLEMENTAL FUNDING |
| 0 | 07/19/2021 | 07/19/2021 | COMMUNITY MENTAL HEALTH | TEST1 | | | NEW STANDARD COMMON CONTRACT |
| 0 | 09/01/2021 | 09/01/2021 | COMMUNITY MENTAL HEALTH | MOKA CORPORATION | | | SERVICE CONTRACT AMENDMENT |

| | | | | | | | |
|---|------------|------------|-------------------------|-----------------------------------|--|--|----------------------------|
| 0 | 09/01/2021 | 09/01/2021 | COMMUNITY MENTAL HEALTH | BLUEBIRD BEHAVIORIAL SERVICES LLC | | | PROVIDER SERVICE AGREEMENT |
|---|------------|------------|-------------------------|-----------------------------------|--|--|----------------------------|

Action Request



Committee: Board of Commissioners

Meeting Date: 10/12/2021

Requesting Department: Administration

Submitted By: Regina MacMillan

Agenda Item: Email Retention and Archiving Rule Policy

Suggested Motion:

To approve the revised Email Retention and Archiving Rule Policy (second reading).

Summary of Request:

County policies require periodic review and updates. This request is to review the Email Retention and Archiving Rule Policy for a first and second reading before final approval.

Financial Information:

| | | | | | |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|
| Total Cost: \$0.00 | General Fund Cost: \$0.00 | Included in Budget: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input checked="" type="checkbox"/> N/A |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|


If not included in budget, recommended funding source:

Action is Related to an Activity Which Is: Mandated Non-Mandated New Activity

Action is Related to Strategic Plan:

Goal: Goal 4: To Continually Improve the County's Organization and Services.

Objective: Goal 4, Objective 1: Conduct activities and maintain systems to continuously improve to gain efficiencies and improve effectiveness.

Administration: Recommended Not Recommended Without Recommendation
County Administrator: 

Committee/Governing/Advisory Board Approval Date: 09/21/2021

Planning and Policy Committee

09/30/2021

Board of Commissioners



Ottawa County

Innovation and Technology Policy

Email Retention and Archiving

I. Purpose of Rule:

In order for government to function administratively, undergo periodic audits, provide for its legal requirements and document its heritage, it must manage its records properly. Therefore, the County of Ottawa requires its employees to retain and destroy e-mail messages that are sent and received in the course of conducting official business in accordance with an approved records Retention and Disposal Schedule.

II. Background:

| | | |
|--------|---------------|---|
| M.C.L. | 15.231-15.232 | (Freedom of Information Act) |
| M.C.L. | 18.1284-1292 | (Management and Budget Act, Records Management) |
| M.C.L. | 399.1-10 | (Historical Commission Act) |
| M.C.L. | 750.491 | (Penal Code, Public Records) |

III. Rule:

- A. A complete e-mail message not only includes the contents of the communication, but also the transactional information (dates and times that messages were sent, received, opened, deleted, etc.; as well as aliases and names of members of groups) and any attachments.
- B. All e-mail that is created, received or stored by the County e-mail system is considered public property. It is not the property of employees, customers or vendors.
- C. E-mail records are used to support a variety of business processes. E-mail messages must be evaluated for their content and purpose to determine the length of time the message must be retained in accordance with the appropriate Retention and Disposal Schedule.
- D. Records, including e-mail, cannot be destroyed if they have been requested under the Freedom of Information Act (FOIA), or if they are part of on-going litigation, even if their retention period has expired. Therefore, upon notification of a FOIA request or litigation involving e-mail, e-mail files pertaining to that request or litigation will be locked from deletion until the FOIA request is satisfied or litigation is complete. Upon receipt of a FOIA request, County Corporate Counsel will notify the IT department to prevent destruction of relevant messages.
- E. Each employee is responsible for complying with retention rules for their e-mail.
 - 1. For e-mail sent and received within the County, the person sending an e-mail is considered the person of "Record" and retention is the responsibility of the sender.

2. For e-mail sent to the County from an outside agency, the County employee receiving the e-mail becomes the person of "Record" and will be the keeper of record.
3. E-mail sent or received may not be modified by the sender or receiver.
4. Each employee is responsible for managing e-mail messages in the same way as any other record as follows. [JS1]
 - a. Organize e-mail messages so they can be located and used by using a folder structure that will facilitate search and recovery of documents.
 - b. Using an approved Retention and Disposal Schedule to identify how long e-mail messages must be kept.
 - c. Keeping e-mail messages for their entire retention period, and for deleting e-mail messages in accordance with an approved Retention and Disposal Schedule.

F. Departments will ensure that all employees complete records retention training.

~~G.~~ The Innovation and Technology Department will maintain e-mail retention for all e-mails sent or received for 2-years. provide the following.

- ~~1. Advice and training on methods to organize e-mail.~~
- ~~2. Centralized archiving for e-mail older than 90 days without attachments.~~
- ~~3. On-line Archive retention for up to two years.~~
- ~~4. Off-line storage for e-mail older than two years.~~
- ~~5. Destruction of e-mail saved to tape after seven years.~~
- ~~6. Restoration of Off-line archive e-mail.~~

~~H.G.~~ Terminology

- ~~1. Archive — e-mail saved to off-line storage but is still directly accessible through the E-mail program.~~
- 2.1. FOIA – Freedom of Information Act.
- 3.2. eDiscovery – Review and identification of electronic records resulting from legal action.

IV. Date Approved:

~~Administrator Internal Policy Review Committee~~ approval date: ~~May 17, 2018~~ August 5, 2021

Planning and Policy Committee Review Date: June 14, 2018

Board of Commissioners notification date: July 27, 2018

I. Review Period:

The Internal Policy Review Team will review this Rule at least once every two years, and will make recommendations for changes to the County Administrator.

Action Request



Committee: Board of Commissioners

Meeting Date: 10/12/2021

Requesting Department: Administration

Submitted By: Regina MacMillan

Agenda Item: HIPAA Compliance Policy

Suggested Motion:

To approve the revised HIPAA Compliance Policy for review and comment (second reading).

Summary of Request:

County policies require periodic review and updates. This request is to review the updated HIPAA Compliance Policy for a first and second reading before final approval.

Financial Information:

| | | | | | |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|
| Total Cost: \$0.00 | General Fund Cost: \$0.00 | Included in Budget: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input checked="" type="checkbox"/> N/A |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|

If not included in budget, recommended funding source:

Action is Related to an Activity Which Is: Mandated Non-Mandated New Activity

Action is Related to Strategic Plan:

Goal: Goal 4: To Continually Improve the County's Organization and Services.

Objective: Goal 4, Objective 1: Conduct activities and maintain systems to continuously improve to gain efficiencies and improve effectiveness.

Administration: Recommended Not Recommended Without Recommendation

County Administrator:

Committee/Governing/Advisory Board Approval Date: 09/21/2021

Planning and Policy Committee

09/30/2021

Board of Commissioners



County of Ottawa

HIPAA COMPLIANCE POLICY

I. POLICY

By federal law, Ottawa County is required to protect the privacy of private health information (“PHI”). It is the policy of Ottawa County to comply with the requirements of the Health Insurance Portability and Accountability Act of 1996 (“HIPAA”) and the standards, implementation specifications, and other requirements of the HIPAA security and privacy regulations at 45 CFR Part 160 and Part 164. These are commonly known as the “HIPAA Privacy Rule” and articulate protections to private health information (“PHI”), as well as, after January 25, 2013, the security of electronic private health information (“ePHI”), which have become known as the “HIPAA Security Rule” or “HITECH.”

II. STATUTORY REFERENCES

The Health Insurance Portability and Accountability Act of 1996, and the Federal Regulations found at 45 CFR Part 160 and Part 164.

III. COUNTY LEGISLATIVE OR HISTORICAL REFERENCES

The original Board policy on this subject matter was adopted April 14, 2003

Board of Commissioners Resolution Number and Policy Adoption Date: December 27, 2011 B/C 11-296

Board of Commissioner Review Date and Resolution Number: December 13, 2011 B/C 11-283

Name and Date of Last Committee Review: Planning & Policy Committee Meeting: December 8, 2011

Last Review by Internal Policy Review Team: August 5, 2021~~November 30, 2014~~

Revised to Comply with HIPAA Final Rule through September 23, 2013.~~August 5, 2021~~



County of Ottawa

IV. PROCEDURE

- A. The revised “County of Ottawa Notice of Privacy Practices” is adopted and shall be implemented in the form attached as Exhibit “A.”
- B. The revised “County of Ottawa Policies for the Protection of Health Information” is adopted and shall be implemented in the form attached as Exhibit “B.”
- C. The revised “County of Ottawa Electronic Health Information Security Protocols” [“the HITECH Policies”] are adopted and shall be implemented in the form attached as Exhibit “C.”

V. REVIEW PERIOD

The County Administrator will review this policy annually. The Internal Policy Review Team will review this Policy at least once every two years, and will make recommendations for changes to the Planning & Policy Committee.

EXHIBIT "A"

COUNTY OF OTTAWA

NOTICE OF PRIVACY PRACTICES

Effective: April 14, 2003

Amended: August 12, 2005

Amended: November 30, 2011

Revised to Comply with HIPAA Final Rule through September 23, 2013

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION OR MENTAL HEALTH INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

This notice will tell you how we may use and disclose protected health information or mental health information about you. Protected health information means any health information or mental health information about you that identifies you or for which there is a reasonable basis to believe the information can be used to identify you. In this notice, we call all of that protected health information "medical information."

This notice also will tell you about your rights and our duties with respect to medical information or mental health information about you. In addition, it will tell you how to complain to us if you believe we have violated your privacy rights.

Versions of this Notice may also be made available in simplified, easy-to-understand formats, and will be made available to you at your request.

How We May Use and Disclose Medical Information About You

We use and disclose medical information and mental health information about you for a number of different purposes. Each of those purposes is described below.

§ For Treatment

We may use medical information or mental health information about you to provide, coordinate or manage your health care, mental health care, and related services by both us and other health care providers. We may disclose medical information or mental health information about you to doctors, nurses, hospitals and other health facilities who become involved in your care. We may consult with other health care providers concerning you and as part of the consultation share your medical information or mental health information with them. Similarly, we may refer you to another health care provider and as part of the referral share medical information or mental health information about you with that provider. For example, we may conclude you need to receive services from a physician or psychologist with a particular specialty. When we refer you to that person, we also will contact that person's office and provide medical information or mental health information about you to them so they have information they need to provide services for you.

§ For Payment

We may use and disclose medical information or mental health information about you so we can be paid for the services we provide to you. This can include billing you, your insurance company, or a third party payor. For example, we may need to give your insurance company information about the health care services or mental health care services we provide to you so your insurance company will pay us for those services or reimburse you for amounts you have paid. We also may need to provide your insurance company or a government program, such as Medicare or Medicaid, with information about your medical condition or mental health condition and the care you need to receive to determine if you are covered by that insurance or program.

§ **For Health Care/ Mental Health Care Operations**

We may use and disclose medical information or mental health information about you for our own health care operations. These are necessary for us to operate Ottawa County, the Ottawa County Health Department, and the Ottawa County Community Mental Health Agency, and to maintain quality health care for our patients and clients. For example, we may use medical information about you to review the services we provide and the performance of our employees in caring for you. We may disclose medical information or mental health information about you to train our staff and students working here. We also may use the information to study ways to more efficiently manage our organization.

§ **How We Will Contact You**

Unless you tell us otherwise in writing, we may contact you by either telephone or by mail at either your home or your office. At either location, we may leave messages for you on the answering machine or voice mail. If you want to request that we communicate to you in a certain way (including email communications) or at a certain location, see “Right to Receive Confidential Communications” on page 8 of this Notice.

§ **Appointment Reminders**

We may use and disclose medical information or mental health information about you to contact you to remind you of an appointment you have with us.

§ **Treatment Alternatives**

We may use and disclose medical information or mental health information about you to contact you about treatment alternatives that may be of interest to you.

§ **Health Related Benefits and Services**

We may use and disclose medical information or mental health information about you to contact you about health-related benefits and services that may be of interest to you.

§ **Individuals Involved in Your Care**

We may disclose to a family member, other relative, a close personal friend, or any other person identified by you, medical information or mental health information about you that is directly relevant to that person’s involvement with your treatment or care or payment

related to your treatment or care. We also may use or disclose medical information about you to notify, or assist in notifying, those persons of your location, general condition, or death. If there is a family member, other relative, or close personal friend that you do not want us to disclose medical information about you to, please notify one of the persons listed on Exhibit 1, or tell our staff member who is providing care to you.

Marketing/Sale

Ottawa County generally does not disclose protected health information for marketing or fundraising purposes. Any exception to this rule must be expressly authorized and must be fully compliant with the disclosure and consent requirements of the HIPAA Final Rule. Ottawa County does not sell Protected Health Information (PHI).

§ **Disaster Relief**

We may use or disclose medical information or mental health information about you to a public or private entity authorized by law or by its charter to assist in disaster relief efforts. This will be done to coordinate with those entities in notifying a family member, other relative, close personal friend, or other person identified by you of your location, general condition or death.

§ **Required by Law**

We may use or disclose medical information or mental health information about you when we are required to do so by law.

§ **Public Health / Mental Health Activities/Reports of Child Abuse or Neglect**

We may disclose medical information or mental health information about you for public health and mental health activities and purposes. This includes reporting medical information or mental health information to a public health authority that is authorized by law to collect or receive the information for purposes of preventing, treating, or controlling disease or mental illness. Or, to one that is authorized to receive reports of child abuse and neglect.

§ **Victims of Abuse, Neglect or Domestic Violence**

We may disclose medical information or mental health information about you to a government authority authorized by law to receive reports of abuse, neglect, or domestic violence, if we believe you are a victim of abuse, neglect, or domestic violence. This will occur to the extent the disclosure is: (a) required by law; or, (b) agreed to by you; or, (c) authorized by law and we believe the disclosure is necessary to prevent serious harm to you or to other potential victims, or, (d) if you are incapacitated and certain other conditions are met, and a law enforcement or other public official represents that immediate law enforcement activity depends on the disclosure. Any such disclosure will be consistent with the terms of Michigan law.

§ **Health / Mental Health Oversight Activities**

We may disclose medical information or mental health information about you to a health oversight agency for activities authorized by law, including audits, investigations,

inspections, licensure or disciplinary actions. These and similar types of activities are necessary for appropriate oversight of the health care system, government benefit programs, and entities subject to various government regulations.

§ **Judicial and Administrative Proceedings**

We may disclose medical information or mental health information about you in the course of any judicial or administrative proceeding in response to an order of the court or administrative tribunal. We also may disclose medical information or mental health information about you in response to a subpoena, discovery request, or other legal process but only if efforts have been made to tell you about the request or to obtain an order protecting the information to be disclosed. Any such disclosure will be consistent with the terms of Michigan law.

§ **Disclosures for Law Enforcement Purposes**

We may disclose medical information or mental health information about you to law enforcement officials for law enforcement purposes:

- a. As required by law.
- b. In response to a court, grand jury or administrative order, warrant or subpoena.
- c. To identify or locate a suspect, fugitive, material witness or missing person.
- d. About an actual or suspected victim of a crime and that person agrees to the disclosure. If we are unable to obtain that person's agreement, in limited circumstances, the information may still be disclosed, as may be required or permitted by Michigan law.
- e. To alert law enforcement officials to a death if we suspect the death may have resulted from criminal conduct.
- f. About crimes that occur at our facility.
- g. To report a crime in emergency circumstances.

§ **Coroners and Medical Examiners**

We may disclose medical information or mental health information about you to a coroner or medical examiner for purposes such as identifying a deceased person and determining cause of death.

§ **Funeral Directors**

We may disclose medical information or mental health information about you to funeral directors as necessary for them to carry out their duties.

§ **Organ, Eye or Tissue Donation**

To facilitate organ, eye or tissue donation and transplantation, we may disclose medical

information about you to organ procurement organizations or other entities engaged in the procurement, banking or transplantation of organs, eyes or tissue.

§ **Research**

Under certain circumstances, we may use or disclose medical information or mental health information about you for research. Before we disclose medical information or mental health information for research, the research will have been approved through an approval process that evaluates the needs of the research project with your needs for privacy of your medical information or mental health information. We may, however, disclose medical information or mental health information about you to a person who is preparing to conduct research to permit them to prepare for the project, but no medical information or mental health information will leave the facilities of Ottawa County during that person's review of the information. Any use for research purposes will comply with the requirements of the HIPAA Final Rule.

§ **To Avert Serious Threat to Health or Safety**

We may use or disclose protected health information or mental health information about you if we believe the use or disclosure is necessary to prevent or lessen a serious or imminent threat to the health or safety of a person or the public. We also may release information about you if we believe the disclosure is necessary for law enforcement authorities to identify or apprehend an individual who admitted participation in a violent crime or who is an escapee from a correctional institution or from lawful custody.

§ **Military**

If you are a member of the Armed Forces, we may use and disclose medical information or mental health information about you for activities deemed necessary by the appropriate military command authorities to assure the proper execution of the military mission. We may also release information about foreign military personnel to the appropriate foreign military authority for the same purposes.

§ **National Security and Intelligence**

We may disclose medical information or mental health information about you to authorized federal officials for the conduct of intelligence, counter-intelligence, and other national security activities authorized by law.

§ **Protective Services for the President**

We may disclose medical information or mental health information about you to authorized federal officials so they can provide protection to the President of the United States, certain other federal officials, or foreign heads of state.

§ **Inmates; Persons in Custody**

We may disclose medical information or mental health information about you to a correctional institution or law enforcement official having custody of you. The disclosure will be made: (a) to provide health care to you; (b) for the health and safety of others; or, (c) for the safety, security and good order of the correctional institution.

§ **Workers' Compensation**

We may disclose medical information or mental health information about you to the extent necessary to comply with workers' compensation and similar laws that provide benefits for work-related injuries or illness.

§ **Other Uses and Disclosures**

Other uses and disclosures will be made only with your written authorization. You may revoke such an authorization at any time by notifying one of the persons listed on Exhibit 1, in writing of your desire to revoke it. However, if you revoke such an authorization, it will not have any ~~effect~~effect on actions taken by us in reliance on it.

Your Rights With Respect to Medical Information About You

You have the following rights with respect to medical information or mental health information that we maintain about you.

§ **Right to Request Restrictions**

You have the right to request that we restrict the uses or disclosures of medical information about you to carry out treatment, payment, or health care operations. You also have the right to request that we restrict the uses or disclosures we make to: (a) a family member, other relative, a close personal friend or any other person identified by you; or, (b) for to public or private entities for disaster relief efforts. For example, you could ask that we not disclose medical information or mental health information about you to your brother or sister; (c) you may request that we not provide information to health plans about "out-of-pocket" medical expenses paid by you.-

To request a restriction, you may do so at the time you complete your consent form or at any time after that time. If you request a restriction after that time, you should do so in writing to one of the persons listed on Exhibit 1 and tell us: (a) what information you want to limit; (b) whether you want to limit use or disclosure or both; and, (c) to whom you want the limits to apply (for example, disclosures to your spouse).

We are not required to agree to any requested restriction, except as required by law. However, if we do agree, we will follow that restriction unless the information is needed to provide emergency treatment. Even if we agree to a restriction, either you or we can later terminate the restriction.

§ **Right to Receive Confidential Communications**

You have the right to request that we communicate medical information about you to you in a certain way or at a certain location. For example, you can ask that we only contact you by mail or at work. We will not require you to tell us why you are asking for the confidential communication.

You may ask us to contact you by email, using an email address supplied by you. If you

want to request confidential communication, you must do so in writing to one of the persons listed on Exhibit 1. Your request must state how or where you can be contacted.

We will accommodate your request. However, we may, when appropriate, require information from you concerning how payment will be handled.

§ **Right to Inspect and Copy**

With a few very limited exceptions, ~~such as psychotherapy notes~~, you have the right to inspect and obtain a copy of medical information about you, including electronic records, if they exist and are readily producible.

To inspect or copy medical information about you, you must submit your request in writing to one of the persons listed on Exhibit 1. Your request should state specifically what medical information or mental health information you want to inspect or copy. If you request a copy of the information, we will charge a fee for the costs of copying and, if you ask that it be mailed to you, the cost of mailing. All charges will be made pursuant to the “Freedom of Information Act” Policy of Ottawa County.

We will act on your request within thirty (30) calendar days after we receive your request. If we grant your request, in whole or in part, we will inform you of our acceptance of your request and provide access and copying.

We may deny your request to inspect and copy medical information if the medical information or mental health information involved is:

- a. Psychotherapy notes (generally not gathered in Ottawa County);
- b. Information compiled in anticipation of, or use in, a civil, criminal or administrative action or proceeding;

If we deny your request, we will inform you of the basis for the denial, how you may have our denial reviewed, and how you may complain. If you request a review of our denial, it will be conducted by a licensed health care professional designated by us who was not directly involved in the denial. We will comply with the outcome of that review.

§ **Right to Amend**

You have the right to ask us to amend medical information about you. You have this right for so long as the medical information or mental health information is maintained by us.

To request an amendment, you must submit your request in writing to one of the persons listed on Exhibit 1. Your request must state the amendment desired and provide a reason in support of that amendment.

We will act on your request within sixty (60) calendar days after we receive your request. If we grant your request, in whole or in part, we will inform you of our acceptance of your request and provide access and copying.

If we grant the request, in whole or in part, we will seek your identification of and agreement to share the amendment with relevant other persons. We also will make the appropriate amendment to the medical information or mental health information by appending or otherwise providing a link to the amendment.

We may deny your request to amend medical information or mental health information about you. We may deny your request if it is not in writing and does not provide a reason in support of the amendment. In addition, we may deny your request to amend medical information if we determine that the information:

- a. Was not created by us, unless the person or entity that created the information is no longer available to act on the requested amendment;
- b. Is not part of the medical information maintained by us;
- c. Would not be available for you to inspect or copy; or,
- d. Is accurate and complete.

If we deny your request, we will inform you of the basis for the denial. You will have the right to submit a statement of disagreeing with our denial. Your statement may not exceed 5 pages. We may prepare a rebuttal to that statement. Your request for amendment, our denial of the request, your statement of disagreement, if any, and our rebuttal, if any, will then be appended to the medical information or mental health information involved or otherwise linked to it. All of that will then be included with any subsequent disclosure of the information, or, at our election, we may include a summary of any of that information.

If you do not submit a statement of disagreement, you may ask that we include your request for amendment and our denial with any future disclosures of the information. We will include your request for amendment and our denial (or a summary of that information) with any subsequent disclosure of the medical information or mental health information involved.

You also will have the right to complain about our denial of your request.

§ Right to an Accounting of Disclosures

You have the right to receive an accounting of disclosures of medical information or mental health information about you. The accounting may be for up to six (6) years prior to the date on which you request the accounting but not before April 14, 2003.

Certain types of disclosures are not included in such an accounting:

- a. Disclosures to carry out treatment, payment and health care operations;
- b. Disclosures of your medical information made to you;
- c. Disclosures for our facility directory;
- d. Disclosures for national security or intelligence purposes;

- e. Disclosures to correctional institutions or law enforcement officials;
- f. Disclosures made prior to April 14, 2003.

Under certain circumstances your right to an accounting of disclosures may be suspended for disclosures to a health oversight agency or law enforcement official.

To request an accounting of disclosures, you must submit your request in writing to one of the persons listed on Exhibit A. Your request must state a time period for the disclosures. It may not be longer than six (6) years from the date we receive your request and may not include dates before April 14, 2003. Usually, we will act on your request within sixty (60) calendar days after we receive your request. Within that time, we will either provide the accounting of disclosures to you or give you a written statement of when we will provide the accounting and why the delay is necessary.

There is no charge for the first accounting we provide to you in any twelve (12) month period. For additional accountings, we may charge you for the cost of providing the list. If there will be a charge, we will notify you of the cost involved and give you an opportunity to withdraw or modify your request to avoid or reduce the fee. All charges will be made pursuant to the Freedom of Information Act' Policy of Ottawa County.

§ **Right to Copy of this Notice**

You have the right to obtain a paper copy of our Notice of Privacy Practices. You may obtain a paper copy even though you agreed to receive the notice electronically. You may request a copy of our Notice of Privacy Practices at any time.

You may obtain a copy of our Notice of Privacy Practices over the Internet at our web site, <http://www.miottawa.org/> To obtain a paper copy of this notice, contact Gregory R. Rappleye, Corporation Counsel, 12220 Fillmore Suite 331, West Olive, MI 49460 (616) 738-4865. You may also contact any of the persons who are listed on Exhibit 1 to receive a paper copy of this Notice.

Ottawa County will observe the Breach Notification Requirements of the HIPAA Final Rule. We will notify you if your protected health information is improperly disclosed and will take action to mitigate any affect upon you as required by law.

Our Duties

§ **Generally**

We are required by law to maintain the privacy of medical information about you and to provide individuals with notice of our legal duties and privacy practices with respect to medical information or mental health information.

We are required to abide by the terms of our Notice of Privacy Practices in effect at the time.

§ **Our Right to Change Notice of Privacy Practices**

We reserve the right to change this Notice of Privacy Practices. We reserve the right to make the new notice's provisions effective for all medical information or mental health information that we maintain, including that created or received by us prior to the effective date of the new notice.

§ **Availability of Notice of Privacy Practices**

A copy of our current Notice of Privacy Practices will be posted in all Ottawa County facilities where services are provided. A copy of the current notice also will be posted on our web site, <http://www.miottawa.org/>. In addition, each time you receive services at Ottawa County, a copy of the current notice will be available for your inspection and review.

At any time, you may obtain a copy of the current Notice of Privacy Practices by contacting any of the persons listed in Exhibit 1.

§ **Effective Date of Notice**

The effective date of the notice will be stated on the first page of the notice.

§ **Complaints**

You may complain to us and to the United States Secretary of Health and Human Services if you believe your privacy rights have been violated by us.

To file a complaint with us, contact Gregory R. Rappleye, Corporation Counsel, 12220 Fillmore Suite 331, West Olive, MI 49460 (616) 738-4865. You may also contact any of the persons who are also listed in Exhibit 1 to file a complaint.

All complaints should be submitted in writing.

To file a complaint with the United States Secretary of Health and Human Services, send your complaint to him or her in care of: Office for Civil Rights, U.S. Department of Health and Human Services, 200 Independence Avenue SW, Washington, D.C. 20201.

You will not be retaliated against for filing a complaint.

§ **Questions and Information**

If you have any questions or want more information concerning this Notice of Privacy Practices, please contact ~~Gregory R. Rappleye~~Doug Van Essen, Corporation Counsel, 12220 Fillmore Room 331, West Olive, MI ~~49417~~49460, 616-738-4865, or one of the persons listed in Exhibit "1".

EXHIBIT "1"

HIPAA PRIVACY OFFICERS

| <u>Department</u> | <u>Officer</u> | <u>Address & Phone No.</u> |
|--|---|---|
| Chief Privacy Officer | Gregory Rappleye <u>Doug Van Essen</u> | 12220 Fillmore West Olive, MI 49460 (616) 738-4861 |
| For: Human Resources | Marcie VerBeek | 12220 Fillmore West Olive, MI 49460 (616) 738-48 <u>7900</u> |
| For: Community Mental Health | Briana Fowler <u>Lynne Doyle</u> | 12265 James Street Holland, MI 49424 (616) <u>393-5763494494-5421-</u> |
| 5410 | | |
| For: Health Department Street | <u>Lisa Stefanovsky</u> Lois Havermans | 12251 James Street Holland, MI 49424 (616) 393-57 <u>8174</u> |
| For: Fiscal Services Fillmore Department | <u>Karen Karasinski</u> Robert Spaman | 12220 West Olive, MI 49460 (616) 738-4849 |
| For: IT | David Hulst <u>Paul Klimas</u> | 12220 Fillmore West Olive, MI 49460 (616) 738-4831 |
| For: CAA | Bill Raymond <u>Jennifer Brozowski</u> | 12251 James Street Holland, MI 49424 (616) <u>393-5604494-5499</u> |

EXHIBIT “B”

COUNTY OF OTTAWA

POLICIES FOR PROTECTION OF THE PRIVACY OF PROTECTED HEALTH INFORMATION

I. INTRODUCTION

A. Purpose of These Privacy Policies

These privacy policies for the protection of the privacy of protected health information are intended to comply with the requirements of the federal Health Insurance Portability and Accountability Act of 1996 (“HIPAA”), regulations under HIPAA, and any applicable Michigan law that is more stringent than the HIPAA requirements. They are designed to comply with the standards, implementation specifications, and other requirements of the HIPAA security and privacy regulations at 45 CFR Part 160 and Part 164.

In all instances, these privacy policies shall be interpreted and construed so as to be consistent with the requirements of HIPAA, its regulations, and any more stringent laws of the State of Michigan. In particular, these Privacy Policies shall be construed and interpreted in a manner consistent with the HIPAA Final Rule.

In the event of any conflict between a provision of these privacy policies and a requirement of HIPAA, a regulation under HIPAA, or a more stringent state law, that HIPAA, HIPAA regulation, or more stringent state law requirement shall control.

B. Disclaimer

All of the policies contained or referred to in these privacy policies, or that may be added or otherwise established by the County of Ottawa (“Ottawa County”) in the future, represent the policies established by Ottawa County for the members of its workforce in relation to the particular subject addressed by the policy. It is the intention of Ottawa County that these privacy policies be used by its affected work force, and other members of its workforce, in meeting their responsibilities to Ottawa County and the general public. Violation of a policy can be the basis for discipline or termination of employment; however, because these privacy policies relate to the establishment and maintenance of high standards of performance, under no circumstances shall any policy be interpreted or construed as establishing a minimum standard, or any evidence of a minimum standard, of the safety, due care, or any other obligation which may be owed by Ottawa County, its departments, agencies, officers, employees, or its agents to another person.

II. PROTECTED HEALTH INFORMATION

A. What is “Protected Health Information”?

“Protected health information” is any health information or mental health information maintained by Ottawa County that is individually identifiable, including genetic information.

“Individually identifiable health information” means any information, whether oral or recorded in any form or medium, including demographic information collected from an individual, that:

1. Is created or received by a health care provider, mental health care provider, a health plan, or health care clearinghouse; and,
2. Relates to the past, present, or future physical or mental health or condition of an individual, the provision of health care to an individual, or the past, present, or future payment for the provision of health care to an individual.

All health information maintained by Ottawa County is individually identifiable unless and until it is de-identified as stated in Section II.B, below.

B. De-Identification of Health Information

1. De-Identification

Health information or mental health information that does not identify an individual and with respect to which there is no reasonable basis to believe that the information can be used to identify an individual is not individually identifiable health information.

2. Requirements for De-Identification

Before any member of Ottawa County’s workforce treats any information as being de-identified, it must be designated as de-identified by the Ottawa County Chief Privacy Officer or the person designated to make such a determination within the relevant department or agency of Ottawa County.

The Chief Privacy Officer or the designated person may find that health information has been de-identified only if one of the following two conditions are met:

- a. Condition 1: Statistical and Scientific Principles. A person with appropriate knowledge and experience with generally accepted statistical and scientific principles and methods for rendering information not individually identifiable:
 - (1) Applying such principles and methods, determines that the risk is very small that the information could be used, alone or in combination with other reasonably available information, by an anticipated recipient to identify an individual who is subject to the information; and,
 - (2) Documents the methods and results of the analysis that justify such determination. Such documentation shall be in accordance with the

requirements stated in Section III.N and Section III.O of these privacy policies.

- b. Condition 2: Removal of Identifiers. The following identifiers of the individual or of relatives, employers, or household members of the individual are removed and Ottawa County does not have actual knowledge that the information could be used alone or in combination with other information to identify an individual who is a subject of the information:
 - (1) Names;
 - (2) All geographic subdivisions smaller than a State, including street addresses, city, county, precinct, zip code, and their equivalent geocodes, except for the initial three digits of a zip code if, according to the current publicity available data from the Bureau of the Census:
 - (a) The geographic unit formed by combining all zip codes with the same three initial digits contains more than 20,000 people; and
 - (b) The initial three digits of a zip code for all such geographic units containing 20,000 or fewer people is changed to 000.
 - (3) All elements of dates (except year) for dates directly related to an individual, including birth date, admission date, discharge date, date of death; and all ages over 89 and all elements of dates (including year) indicative of such age, except that such ages and elements may be aggregated into a single category of age 90 or older;
 - (4) Telephone numbers;
 - (5) Fax numbers;
 - (6) Electronic mail (email) addresses;
 - (7) Social Security numbers;
 - (8) Medical record numbers;
 - (9) Health plan beneficiary numbers;
 - (10) Account numbers;
 - (11) Certificate/license numbers;
 - (12) Vehicle identifiers and serial numbers, including license plate numbers;
 - (13) Device identifiers and serial numbers;

- (14) Web Universal Resource Locators (URLs);
- (15) Internet Protocol (IP) address numbers;
- (16) Biometric identifiers, including finger and voice prints;
- (17) Full face photographic images and any comparable images; and,
- (18) Any other unique identifying number, characteristic, or code.

3. Requirements for Re-Identification

A code or other means of record identification may be assigned to allow information de-identified to be re-identified by Ottawa County provided:

- a. The code or other means of record identification shall not be derived from or related to information about the individual and shall not otherwise be capable of being translated so as to identify the individual; and,
- b. The code or other means of record identification shall not be used or disclosed for any other purpose and the mechanism for re-identification shall not be disclosed.

Whether or not information shall be coded for re-identification and be re-identified shall be determined by the Chief Privacy Officer or by the person so designated within each department or agency. If information is re-identified, the Chief Privacy Officer or the designated person shall oversee the process of doing so.

III. ADMINISTRATIVE POLICIES

A. Organizational Policies

1. Hybrid Entity.

The County of Ottawa is a “Hybrid Entity” under which some departments of the entity are engaged in health care operations and some are not. The Ottawa County Community Mental Health Agency and the Ottawa County Health Department are the health care components of the County of Ottawa but they are not designated health care components under 45 CFR S164.503 (j) (2). All components of Ottawa County shall, to the maximum extent required by law, observe and comply with these Policies.

B. Designation of Privacy Official

1. Designation

Ottawa County’s Administrator shall designate a chief privacy official who shall be responsible for the development, updating and implementation of Ottawa County’s

privacy policies. That privacy official shall be called the "Chief Privacy Officer" of Ottawa County. The Chief Privacy Officer may designate a person within each department of Ottawa County having access to materials covered by these privacy policies as that department or agency's privacy officer.

2. Documentation

The Ottawa County Administrator shall maintain, or cause to be maintained, a written or electronic record of the designation of the Chief Privacy Officer and of any department or agency privacy officer. Such records shall be maintained for six (6) years from the date of its creation or the date it is last in effect, whichever is later.

C. Designation of Other Persons

1. Person/Office to Receive Complaints

The Ottawa County Administrator shall designate a contact person or office who shall:

- a. Be responsible for receiving complaints concerning Ottawa County's privacy policies and procedures, Ottawa County's compliance with those policies and procedures, or Ottawa County's compliance with the HIPAA privacy rule pursuant to Section III.H of these privacy policies; and,
- b. Provide further information about matters covered by Ottawa County's Notice of Privacy Practices.

2. Person/Office to Receive and Process Requests for Access

The Ottawa County Administrator shall designate a contact person or office who shall be responsible for receiving and processing individuals' requests for access to protected health information pursuant to Section VII.B of these privacy policies.

3. Person/Office to Receive and Process Requests for Amendment

The Ottawa County Administrator shall designate a contact person or office who shall be responsible for receiving and processing individuals' requests for amendment of protected health information pursuant to Section VII.C of these privacy policies.

4. Documentation

The Ottawa County Administrator shall maintain, or cause to be maintained, a written or electronic record of the title of the person or office for each person or office designed under this Section III.C. Such record shall be maintained for six (6) years from the date of its creation or the date it was last in effect, whichever is later.

D. Identification of Workforce Members' Access To Protected Health Information

Attached to these privacy policies as Appendix 1 is an identification of those classes of Ottawa County's workforce who need access to protected health information to carry out their duties and, for each of those classes, the category or categories of protected health information to which access is needed and any conditions appropriate to that access. Failure of a member of the workforce to comply with that access or those conditions will result in disciplinary action up to and including termination of employment.

At least annually, the Privacy Officer shall cause a review of the identification and categories stated in Appendix 1 and make such changes to Appendix 1 as the Privacy Officer determines is necessary or desirable to keep Appendix 1 current.

E. Training of Workforce

All members of Ottawa County's workforce having access to protected health information in the course and scope of their duties ("the affected workforce") shall be trained on Ottawa County's policies and procedures with respect to protected health information as necessary and appropriate for the members of the workforce to carry out their functions within Ottawa County.

Each member of Ottawa County's workforce having access to protected health information in the course and scope of their duties on April 14, 2003, shall be trained by no later than April 14, 2003. Thereafter, each new member of the workforce shall be trained within ten (10) calendar days after the person joins the workforce. Each member of the workforce whose functions are affected by a material change in these privacy policies or procedures shall be trained within ten (10) calendar days after the material change becomes effective.

Documentation of the training for each member of the workforce shall be kept in written or electronic form for six (6) years after the date of its creation or the date that person ceases to be a member of Ottawa County's workforce, whichever is later.

F. Safeguards to Protect the Privacy of Protected Health Information

Option 1: The administrative, technical and physical safeguards that Ottawa County has in place to safeguard the privacy of protected health information are stated in Appendix 2 to these privacy policies.

At least annually, the Ottawa County Administrator shall cause a review of the safeguards stated in Appendix 2 and assure such changes to Appendix 2 as the Ottawa County Administrator determines is necessary or desirable to keep Appendix 2 current.

G. Receipt of Notice of Amended Protected Health Information

Any member of Ottawa County's affected workforce who is informed by another health care provider, health plan or a healthcare clearinghouse of an amendment to an individual's protected health information shall promptly inform the Privacy Officer or his/her designee of the amendment. The Privacy Officer shall cause the protected

health information concerning that individual that is maintained by Ottawa County to be amended as stated in Section VII.C.4.a of these privacy policies.

H. Process for Individuals to Make Complaints

Individuals who desire to make a complaint against Ottawa County concerning Ottawa County's privacy policies and procedures, its compliance with those policies and procedures, or the requirements of the HIPAA privacy rule shall submit the complaint to the Chief Privacy Officer or his/her designee, in writing.

The Chief Privacy Officer shall investigate the complaint and respond to the individual in writing concerning his or her findings and what action, if any, Ottawa County will take in response to the complaint.

The Chief Privacy Officer shall cause written documentation of each complaint and its disposition to be kept in written or electronic form for six (6) years after the date of its creation or the date when it was last in effect, whichever is later.

Ottawa County will observe the breach notification requirements of the HIPAA Final Rule.

In evaluating the impact of any privacy violation, the Chief Privacy Officer shall utilize the "risk analysis" approved and outlined in the HIPAA Final Rule including analysis of:

- (1) the nature and extent of the PHI involved in the breach;
- (2) the unauthorized person who used the PHI or to whom the disclosure was made;
- (3) whether the PHI was actually acquired or viewed; and
- (4) the extent to which the risk to PHI has been mitigated.

I. Sanctions

Except for actions that are covered by and meet the conditions of Section VI.F.15, Section VI.F.16, or Section III.K of these privacy policies, any member of Ottawa County's affected workforce who fails to comply with Ottawa County's privacy policies and procedures or the requirements of the HIPAA privacy rule shall be subject to sanctions imposed through Ottawa County's discipline and discharge policies.

Examples of the Sanctions that may be applied for certain actions are:

1. Failure to promptly report any violation of any Ottawa County privacy policy or procedure or requirement of the HIPAA privacy rule to the Privacy Officer or his/her designee – sanctions pursuant to the employment policies of Ottawa County up to

and including termination.

2. Inadvertent violation of any Ottawa County privacy policy or requirement of the HIPAA privacy rule - sanctions pursuant to the employment policies of Ottawa County up to and including termination.
3. Knowing violation of any Ottawa County privacy policy or requirement of the HIPAA privacy rule - sanctions pursuant to the employment policies of Ottawa County up to and including termination.
4. Knowingly and improperly obtaining or disclosing protected health information - sanctions pursuant to the employment policies of Ottawa County up to and including termination.
5. Obtaining protected health information under false pretenses - sanctions pursuant to the employment policies of Ottawa County up to and including termination.
6. Obtaining or disclosing protected health information with an intent to sell, transfer or use it for commercial advantage, personal gain or malicious harm - sanctions pursuant to the employment policies of Ottawa County up to and including termination.

The Ottawa County Human Resources Director shall cause written documentation of the sanctions that are applied, if any, to be kept in written or electronic form for six (6) years after the date of its creation or the date when it is last in effect, whichever is later.

J. Mitigation of Harmful Effect

If there is a use or disclosure of protected health information by a member of Ottawa County's affected workforce or an Ottawa County business associate in violation of Ottawa County's privacy policies or the requirements of the HIPAA privacy rule, the Chief Privacy Officer or his/her designee shall mitigate, or cause to be mitigated, to the extent practicable, any harmful effect that is known to Ottawa County.

K. Prohibition on Intimidating or Retaliatory Acts

Neither Ottawa County nor any member of Ottawa County's workforce may intimidate, threaten, coerce, discriminate against, or take other retaliatory action against:

1. Individuals. Any individual for the exercise by the individual of any right under, or for participation by the individual in any process established by, these privacy policies or the HIPAA privacy rule, including filing a complaint under the HIPAA privacy rule or under these privacy policies.
2. Individuals and Others. Any individual or other person for:

- a. Filing of a complaint with the Secretary of Health and Human Services under the HIPAA privacy rule;
- b. Testifying, assisting, or participating in an investigation, compliance review, proceeding, or hearing under the Administrative Simplification provisions of HIPAA; or
- c. Opposing any act or practice made unlawful by the HIPAA privacy rule, provided the individual or person has a good faith belief that the practice opposed is unlawful, and the manner of the opposition is reasonable and does not involve a disclosure of protected health information in violation of the HIPAA privacy rule.

L. Prohibition on Waiver of Rights

No member of Ottawa County’s affected workforce may require an individual to waive the individual’s rights under these privacy policies or the HIPAA privacy rule as a condition for the provision of treatment, payment, and enrollment in a health plan, or eligibility for benefits.

M. Changes to Policies and Procedures

1. Changes in Law

The Chief Privacy Officer with the consent of the Ottawa County Administrator shall promptly change these privacy policies as necessary and appropriate to comply with changes in the law, including changes in the HIPAA privacy rule. The changed policy or procedure shall be promptly documented and implemented. If the change materially affects the content of Ottawa County’s Notice of Privacy Practices, the Privacy Officer shall promptly make the appropriate revisions to the notice in accordance with Section V.C of these privacy policies.

2. Changes to Privacy Practices Stated In Notice of Privacy Practices

Option 1: When Ottawa County changes a privacy practice that is stated in its Notice of Privacy Practices and makes corresponding changes to Ottawa County’s policies, the change shall be effective for protected health information Ottawa County created or received prior to the effective date of the notice revision provided:

- a. The Chief Privacy Officer ensures that the policy or procedure, as revised to reflect the change, complies with the HIPAA privacy rule;
- b. The Chief Privacy Officer documents the policy or procedure, as revised, as stated in Section III.N and Section III.O of these privacy policies; and,
- c. The Chief Privacy Officer revises the Notice of Privacy Practices to state the changed practice and makes the revised notice available as stated in Section V.B of these privacy policies. The changed practice may not be implemented prior to the effective date of the revised Notice of Privacy Practices.

If these conditions are not met, then the change is effective only with respect to protected health information created or received after the effective date of the revised Notice of Privacy Practices.

Option 2: When Ottawa County changes a privacy practice that is stated in its Notice of Privacy Practices and makes corresponding changes to its policies, the following actions shall be taken:

- a. The Chief Privacy Officer shall ensure that the policy or procedure, as revised to reflect the change, complies with the HIPAA privacy rule;
- b. The Chief Privacy Officer shall document the policy or procedure, as revised, is documented as stated in Section III.N and Section III.O of these privacy policies; and,
- c. The Chief Privacy Officer shall revise The Notice of Privacy Practices to state the changed practice and make the revised notice available as stated in Section V.B of these policies. The changed practice may not be implemented prior to the effective date of the revised Notice of Privacy Practices.

The change shall be effective only with respect to protected health information created or received after the effective date of the revised Notice of Privacy practices.

3. Changes to Privacy Practices Not Stated In Notice of Privacy Practices

Ottawa County may change, at any time, a privacy practice that does not materially affect the content of the Notice of Privacy Practices, provided:

- a. The policy or procedure involved, as revised, complies with the HIPAA privacy rule; and,
- b. Prior to the effective date of the change, the policy or practice, as revised, is documented by the Ottawa County Administrator by causing it to be kept in written or electronic form.

N. Documentation

The Chief Privacy Officer shall take, or cause to be taken, each of the following actions:

1. Maintain these privacy policies and procedures in written or electronic form;
2. If a communication is required by these privacy policies and procedures, or by the privacy rule, to be in writing, maintain that writing, or an electronic copy, as documentation;

3. If an action, activity, or designation is required by these privacy policies and procedures, or by the privacy rule, to be documented, maintain a written or electronic record of that action, activity or designation.

O. Period of Retention

Documentation required by Section III.N, above, shall be retained for six (6) years from the date of its creation or the date when it last was in effect, whichever is later.

P. Maintenance of Psychotherapy Notes

Psychotherapy notes, if any, shall be maintained by the mental health professional who prepared the notes in a locked file in his/her office. A duplicate of the key to the locked file shall be retained by the Director of the Ottawa County Community Mental Health Agency.

Upon termination of the mental health professional's employment, or contract of service, any psychotherapy notes maintained by him/her shall be destroyed.

Q. Business Associates.

Prior to Ottawa County disclosing any protected health information to a business associate or allowing a business associate to create or receive protected health information on its behalf, the Chief Privacy Officer or his/her designee shall obtain satisfactory assurance from the business associate that the business associate will appropriately safeguard the protected health information disclosed to it or that it creates or receives on Ottawa County's behalf. The satisfactory assurance shall be through a written contract with the business associate that contains at least all the provisions required by the privacy rule.

However, if the business associate is required by law to perform a function or activity on behalf of Ottawa County or to provide a service described in the HIPAA privacy rule's definition of a business associate (see, Section IX.B, "Business Associate" of these privacy policies) to Ottawa County, Ottawa County may disclose protected health information to the business associate to the extent necessary to comply with the legal mandate without meeting the requirements for business associates, provided:

1. Ottawa County attempts in good faith to obtain satisfactory assurances, as stated above; and,
2. If that attempt fails, the Chief Privacy Officer or his/her designee documents the attempt and the reasons that the assurances cannot be obtained.

Any contract of Ottawa County where the other party, or one of the other parties, may be a business associate shall be submitted to the Chief Privacy Officer for review for compliance with these privacy policies and the HIPAA privacy rule prior to being signed on behalf of Ottawa County, [including the Amendments to Business Associates Agreements required by the HIPAA Final Rule.](#)

R. Reporting Violations

Each member of Ottawa County's affected workforce must report any actual or possible violation of Ottawa County's privacy policies or the HIPAA privacy rule to the Chief Privacy Officer as soon as he or she becomes aware of the actual or possible violation.

S. Questions Concerning HIPAA Compliance

If any member of Ottawa County's affected workforce has a question concerning Ottawa County's privacy policies, the HIPAA privacy rule, or their application to any situation, he or she should contact the Chief Privacy Officer for guidance. The Chief Privacy Officer may contact legal counsel for legal advice as he or she believes is necessary or desirable.

T. Action by Designee

Whenever an action may be or is required to be taken under these privacy policies by the Chief Privacy Officer, the Ottawa County Administrator, or any other member of Ottawa County's workforce, the action may be taken by that person's designee.

IV. OTTAWA COUNTY REQUESTS FOR PROTECTED HEALTH INFORMATION

A. Generally

When requesting protected health information from another health care provider, a health plan or a health care clearinghouse, a member of Ottawa County's affected workforce must limit the request to that which is reasonably necessary to accomplish the purpose for which the request is made.

Except when the entire medical record or mental health record is specifically justified as the amount that is reasonably necessary to accomplish the purpose of the request, members of Ottawa County's affected workforce may not request an entire medical record or mental health record.

B. Routine and Recurring Requests

For a request that is made on a routine and recurring basis, Ottawa County shall from time to time develop and implement standard protocols that limit the protected health information requested to the amount that is reasonably necessary to accomplish the purpose for which the request is made. The protocols established by Ottawa County, if any, are set forth in Appendix 3 to these privacy policies.

C. Other Requests

Whenever any member of Ottawa County's affected workforce desires to request protected health information from another provider, a health plan or a health care clearinghouse and the request is not one made pursuant to a protocol for routine and recurring requests, he or she shall first submit the request to the Chief Privacy Officer or his/her designee for review and approval prior to the request being made. The Chief Privacy Officer or his/her designee shall review the request on an individual basis to determine that the protected health information sought is limited to the information reasonably necessary to accomplish the purpose for which the request is made.

V. NOTICE OF PRIVACY PRACTICES.

A. Form of Notice of Privacy Practices.

The Notice of Privacy Practices used by Ottawa County shall be established from time to time by the Chief Privacy Officer and shall meet the requirements of the HIPAA privacy regulations.

B. Provision of Notice of Privacy Practices.

1. To Each Patient, etc.

a. Generally

Ottawa County's Notice of Privacy Practices shall be made available to any individual patient or client of Ottawa County (except to an inmate of a correctional institution) and to Ottawa County employees, no later than the date of the first service delivery by Ottawa County and to other persons upon request. The Notice of Privacy Practices also shall be made available at Ottawa County's offices whose Health Services and/or Mental Health Services are provided for individuals to request to take with them.

b. Via Email

If the individual agrees and that agreement has not been withdrawn, the Notice of Privacy Practices will be provided to that individual by email in lieu of physical delivery. The transmission of the Notice of Privacy Practices by email will be accomplished by the director of the affected department or agency, or his/her designee. If the e-mail transmission fails, a paper copy of the Notice of Privacy Practices will be provided to the individual. An individual who receives electronic notice may still obtain a paper copy of the notice upon request; his or her request should be submitted to the director of the affected department or agency, or his/her designee.

2. Posting^[JS1]

Ottawa County's Notice of Privacy Practices shall be prominently posted on posters at the locations specified in Exhibit "4" hereto.

3. Web Site

Ottawa County's Notice of Privacy Practices shall be prominently posted on Ottawa County's web site and made available electronically through the web site.

C. Revision of Notice of Privacy Practices

Whenever there is a material change to the uses or disclosures, the individual's rights, Ottawa County's legal duties, or other privacy practices stated in the notice, the Chief Privacy Officer shall cause the Notice of Privacy Practices to be promptly revised, made available on request and distributed.

Except when the material change is required by law, a material change to any term of the Notice of Privacy Practices shall not be implemented prior to the effective date of the Notice of Privacy Practices in which the material change is reflected.

D. Documentation

A copy of each Notice of Privacy Practices used by Ottawa County shall be maintained by Ottawa County in written or electronic form for six (6) years after the date the notice was last in effect.

VI. USES AND DISCLOSURE OF PROTECTED HEALTH INFORMATION

A. General Rule

Except as otherwise stated in this Section VI, Ottawa County shall obtain the individual's consent or authorization, whichever is applicable and in accordance with these privacy policies, prior to using or disclosing protected health information concerning the individual.

B. Use and Disclosure of Only the Minimum Necessary Information.

1. General Rule

Except as stated in Section VI.B.2, below, when using or disclosing protected health information, members of Ottawa County's affected workforce shall make reasonable efforts to limit protected health information to the minimum necessary to accomplish the intended purpose of the use or disclosure.

2. Exceptions to Minimum Necessary Requirement

The preceding general rule concerning limiting use and disclosure of protected health information to the minimum necessary does not apply to:

- a. Disclosures to a health care provider for treatment.
 - b. Uses or disclosures made to the individual except for authorizations requested by Ottawa County.
 - (1) For Ottawa County's own uses and disclosures (see, Section VI.D.6, "Authorizations Requested by Ottawa County for its Own Uses and Disclosures" of these privacy policies);
 - (2) For disclosures to others (see, Section VI.D.7, "Authorizations Requested by Ottawa County for Disclosures by Others" of these privacy policies); or,
 - (3) For uses and disclosures of protected health information created for research that includes treatment of the individual (see, Section VI.D.8, "Authorizations for Uses and Disclosures of Protected Health Information Created for Research That Includes Treatment of the Individual" of these privacy policies).
 - c. Disclosures made to the Secretary of Health and Human Services in accordance with the HIPAA privacy rule.
 - d. Uses or disclosures that are required by law.
 - e. Uses or disclosures that are required for Ottawa County's compliance with the HIPAA privacy rule.
3. Routine and Recurring Disclosures

For any type of disclosure that is made on a routine and recurring basis, the Ottawa County Administrator or his/her designee shall from time to time develop and implement standard protocols that limit the protected health information requested to the amount that is reasonably necessary to accomplish the purpose for which the disclosure is made. The protocols, if any, established are set forth in Appendix 5 to these privacy policies.

4. Other Disclosures

Any disclosures that are not covered by an established protocol, shall be reviewed by the Chief Privacy Officer on an individual basis using the following criteria to limit the protected health information disclosed to the information reasonably necessary to accomplish the purpose for which disclosure is sought.

The criteria to be applied are:

- a. Whether or not the information requested is reasonably related to the purpose of the request.

- b. Whether or not the information requested will assist in the accomplishment of the purpose of the request.
 - c. Whether or not the purpose of the request can be accomplished without the information requested.
 - d. Whether or not the purpose of the request can be met with information that is not protected health information.
5. Permitted Reliance

If the reliance is reasonable under the circumstances, members of Ottawa County's affected workforce may rely on a requested disclosure as the minimum necessary for the stated purpose when:

- a. Making disclosures to public officials that are permitted under Section VI.F of these privacy policies, if the public official represents that the information is the minimum necessary for the stated purpose(s);
- b. The information is requested by another covered entity;
- c. The information is requested by a professional who is a member of Ottawa County's affected workforce or a business associate of Ottawa County for the purpose of providing professional services to Ottawa County, if the professional represents that the information requested is the minimum necessary for the stated purpose(s); or,
- d. Documentation or representations that comply with the applicable requirements of Section VI.F.10 of these privacy policies have been provided by the person requesting the information for research purposes.

The basis for reliance under this Section VI.B.5 shall be documented by the Privacy Officer. That documentation shall be maintained in the records of Ottawa County.

C. Uses and Disclosures for Which an Authorization is Required

1. General Rule

Except as otherwise permitted or required by these privacy policies, Ottawa County will not use or disclose protected health information without an authorization that is valid under this Section VI.C. When Ottawa County obtains or receives a valid authorization for its use or disclosure of protected health information, Ottawa County's use or disclosure must be consistent with that authorization.

2. What is a Valid Authorization?

An authorization is valid if it contains all the elements required by Section VI.D.5 of these privacy policies and it is not defective.

An authorization is defective if the document has any of the following defects:

- a. The expiration date has passed or the expiration event is known by Ottawa County to have occurred.
- b. The authorization has not been filled out completely with respect to an element required to be included in the authorization;
- c. The authorization is known by Ottawa County to have been revoked;
- d. The authorization lacks a required element (see, Section VI.D.5, "Form of Authorization" of these privacy policies);
- e. The authorization violates the requirements concerning compound authorizations (see, Section VI.C.9, "Compound Authorizations" of these privacy policies); or,
- f. If any material information in the authorization is known by Ottawa County to be false.

If any member of Ottawa County's affected workforce believes an authorization is defective for any reason, he or she should promptly report that fact and the basis for his or her belief to the Privacy Officer.

3. Maintaining an Authorization

All authorizations shall be delivered to a designated records custodian who will file there in accordance with established protocols.

4. Conditioning of Authorizations

a. General Rule.

Except as stated in Section VI.C.4.b, below, Ottawa County will not condition treatment or payment to an individual on the receipt of an authorization from that individual.

b. Exceptions.

Ottawa County will condition treatment or payment to an individual on the receipt of an authorization from that individual in the following situations:

- (1) Research. Ottawa County will condition the provision of research-related treatment on provision of an authorization under Section VI.C.8 of these privacy policies.

- (2) Disclosure Is Sole Purpose. Ottawa County will condition the provision of health care that is solely for the purpose of creating protected health information for disclosure to a third party on provision of an authorization for the disclosure of the protected health information to that third party.

5. Form of Authorization

- a. Core Elements. An authorization must contain at least the following elements:

- (1) A description of the information to be used or disclosed that identifies the information in a specific and meaningful fashion;
- (2) The name or other specific identification of the person(s), or class of persons, authorized to make the requested use or disclosure;
- (3) The name or other specific identification of the person (s), or class of persons, to whom Ottawa County may make the requested use or disclosure;
- (4) An expiration date or an expiration event that relates to the individual or the purpose of the use or disclosure;
- (5) A statement of the individual's right to revoke the authorization in writing and the exceptions to the right to revoke, together with a description of how the individual may revoke the authorization;
- (6) A statement that information used or disclosed pursuant to the authorization may be subject to redisclosure by the recipient and no longer be protected by this rule;
- (7) Signature of the individual and date; and,
- (8) If the authorization is signed by a personal representative of the individual, a description of that personal representative's authority to act for the individual.

- b. Additional Elements. An authorization may contain elements or information in addition to the elements stated in this Section VI.C concerning "Uses and Disclosures for Which an Authorization is Required," provided those additional elements or information are not inconsistent with the elements required by this Section VI.C.

- c. Plain Language. An authorization must be written in plain language

6. Authorizations Requested by Ottawa County for Its Own Uses and Disclosures.

If an authorization is requested by Ottawa County for its own use or disclosure of protected health information that it maintains, Ottawa County will comply with the following requirements:

a. Required Elements.

In addition to meeting the requirements stated in Section VI.D.5 of these privacy policies, the authorization must contain the following elements:

- (1) For any authorization to which the prohibition on conditioning in Section VI.C.4 applies, a statement that Ottawa County will not condition treatment or payment on the individual's providing authorization for the requested use or disclosure;
- (2) A description of each purpose of the requested use or disclosure;
- (3) A statement that the individual may:
 - (a) Inspect or copy the protected health information to be used or disclosed as provided in Section VII.B of these privacy policies; and,
 - (b) Refuse to sign the authorization; and,
- (4) If use or disclosure of the requested information will result in direct or indirect remuneration to Ottawa County from a third party, a statement that such remuneration will result.

b. Copy to Individual. Ottawa County will provide the individual with a copy of the signed authorization.

7. Authorizations Requested by Ottawa County for Disclosures by Others.

If an authorization is requested by Ottawa County for another health care provider, health plan or health care clearinghouse to disclose protected health information to Ottawa County to carry out treatment, payment, or health care operations, Ottawa County will comply with the following requirements:

a. Required Elements. In addition to meeting the requirements stated in Section VI.C.5 of these privacy policies, the authorization will contain the following elements:

- (1) A description of each purpose of the requested disclosure; and,
- (2) A statement that the individual may refuse to sign the authorization.

b. Copy to Individual. Ottawa County will provide the individual with a copy of the signed authorization.

8. Authorizations For Uses and Disclosures of Protected Health Information Created for Research That Includes Treatment of the Individual.

Except as otherwise permitted by Section VI.E.10 of these privacy policies

concerning “Uses and Disclosures for Research Purposes,” if Ottawa County creates protected health information for the purpose, in whole or in part, of research that includes treatment of individuals, Ottawa County will obtain an authorization for the use or disclosure of such information.

That authorization will:

- a. For uses and disclosures not otherwise permitted or required under these privacy policies, meet the requirement of Section VI.D.5 and Section VI.C.6 of these privacy policies; and,
- b. Contain:
 - (1) A description of the extent to which such protected health information will be used or disclosed to carry out treatment, payment, or health care operations;
 - (2) A description of any protected health information that will not be used or disclosed for purposes permitted in accordance with Section VI.D and VI.E of these privacy policies, provided Ottawa County will not include a limitation affecting its rights to make a use or disclosure that is required by law or permitted by Section VI.E.11.b.(1) of these privacy policies; and,
 - (3) If Ottawa County has obtained or intends to obtain the individual’s consent under Section VI.C of these privacy policies, or has provided or intends to provide the individual with a Notice of Privacy Practices, (see Section V, “Notice of Privacy Practices”), the authorization will refer to that notice and state that the statements made pursuant to this Section VI.D.8, “Authorizations for Uses and Disclosures of Protected Health Information Created for Research That Includes Treatment of the Individual,” are binding.

An authorization under this Section VI.C.8 may be in the same document as: Ottawa County’s Notice of Privacy Practices (see, Section V, “Notice of Privacy Practices”).

c. Be in compliance with the HIPAA Final Rule.

9. Compound Authorizations

- a. General Rule. Except as stated in Section VI.C.9.b, below, an authorization for use or disclosure of protected health information may not be combined with any other document to create a compound authorization.
- b. Exceptions. Notwithstanding Section VI.C.9.a, above, an authorization for use or disclosure of protected health information may be combined with any other document to create a compound authorization in the following situations:
 - (1) An authorization for the use or disclosure of protected health information created for research that includes treatment of the individual may be combined as stated in Section VI.C.8 of these privacy policies;

- (2) An authorization for a use or disclosure of psychotherapy notes may only be combined with another authorization for a use or disclosure of psychotherapy notes;
- (3) An authorization, other than an authorization for a use or disclosure of psychotherapy notes may be combined with any other authorization, except when Ottawa County has conditioned the provision of treatment or payment under Section VI.C.4.b of these privacy policies on the provision of one of the authorizations.

10. Revocation of an Authorization.

An individual has the right to revoke an authorization in writing, except to the extent Ottawa County has taken action in reliance thereon.

A written revocation should be submitted to the Chief Privacy Officer or his/her designee who will cause the revocation to be filed in accordance with a standard protocol.

11. Documentation.

The Chief Privacy Officer or his/her designee will document and retain any signed authorizations under this section in writing, or an electronic copy, for six (6) years from the date of its creation or the date when it was last in effect, whichever is later.

D. Uses and Disclosures Requiring an Opportunity for the Individual to Agree or to Object.

1. General Rule

Members of Ottawa County's affected workforce may use or disclose protected health information without the individual's written consent or authorization for the purposes described in this Section VI.D provided:

- a. The individual is informed orally or in writing in advance of the use or disclosure; and,
- b. The individual has an opportunity to agree to or prohibit or restrict the disclosure in accordance with the requirements of this Section VI.D.

2. Persons Involved in the Individual's Care; Notification

a. General Rules

- (1) Those Involved in Care. Members of Ottawa County's affected workforce may, in accordance with Sections VI.D.3.b and VI.D.3.c, below, disclose to a

family member, other relative, or a close personal friend of the individual, or to any other person identified by the individual, the protected health information directly relevant to that person's involvement with the individual's care or payment related to that individual's health care.

- (2) Notification of Location, Condition, or Death. If authorized by Michigan law, members of Ottawa County's affected workforce may use or disclose protected health information to notify, or assist in the notification of (including identifying or locating) a family member, a personal representative of the individual, or another person responsible for the care of the individual of the individual's location, general condition or death. Any such use or disclosure must be in accordance with Section VI.D.3.b, VI.D.3.c, or VI.D.4, below, and Michigan law.

b. When the Individual is Present

If the individual is present for, or otherwise available prior to, a use or disclosure to a person(s) involved in the individual's care and the individual has the capacity to make health care decisions, a member of Ottawa County's affected workforce may use or disclose the protected health information if he or she:

- (1) Obtains the individual's agreement;
- (2) Provides the individual with the opportunity to object to the disclosure, and the individual does not express an objection; or,
- (3) Reasonably infers from the circumstances, based on the exercise of professional judgment, that the individual does not object to the disclosure.

The affected workforce member shall document in the patient or client record which of the preceding reasons were the basis for the use or disclosure.

c. When the Individual Is Not Present.

- (1) Incapacity; Emergency Circumstances. If the individual is not present for, or the opportunity to agree or object to the use or disclosure cannot practicably be provided because of the individual's incapacity or an emergency circumstance, a member of Ottawa County's affected workforce may, in the exercise of professional judgment, and if authorized by Michigan law, determine whether the disclosure is in the best interests of the individual and, if so, disclose only the protected health information that is directly relevant to the person's involvement with the individual's health care.

The Ottawa County affected workforce member shall document in the patient or client record the individuals' incapacity or the emergency and why he or she determined the disclosure was in the individuals' best interests.

- (2) Other Actions. When authorized by Michigan law, a member of affected workforce may use professional judgment and experience with common practice to make reasonable inferences of the individual's best interest in allowing a person to act on behalf of the individual to pick up filled prescriptions, medical supplies, X-rays, or other similar forms of protected health information.

The Ottawa County's affected workforce member shall document in the patient or client record the individuals' incapacity or the emergency and why he or she determined the disclosure was in the individual's best interests.

3. Disaster Relief.

A member of Ottawa County's affected workforce may use or disclose protected health information to a public or private entity authorized by law or by its charter to assist in disaster relief efforts, e.g., the Red Cross, for the purpose of coordinating with such entities the uses and disclosures permitted by Section VI.D.3 concerning notification of location, condition or death. However, the requirements of Sections VI.D.3.b and VI.D.3.c of these privacy policies apply to those uses and disclosures to the extent that the Ottawa County affected workforce member, in the exercise of professional judgment, determines that those requirements do not interfere with the ability to respond to the emergency circumstances.

E. Uses and Disclosures for which Consent, an Authorization, or an Opportunity to Agree or Object is Not Required

1. General Rules

To the extent permitted by this Section VI.E, an authorized member of Ottawa County's affected workforce may use or disclose protected health information without the written consent or authorization of the individual, or the opportunity of the individual to agree or object, in the situations described in this Section VI.E.

When Ottawa County is required by any of these situations to inform the individual of a use or disclosure permitted by this Section VI.E or when the individual may agree to a use or disclosure required by this Section VI.E, Ottawa County's information and the individual's agreement may be given orally. However, if given orally, the Ottawa County affected workforce member involved shall document the giving of the information or the agreement by notification in the patient or client's records.

2. Uses and Disclosures Required by Law

a) Informing the Chief Privacy Officer or his/her designee

Any member of Ottawa County's affected workforce who receives a request, or who proposes, to use or disclose protected health information for a use or disclosure required by law must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or his/her designee prior to the use or disclosure being made. The Chief Privacy Officer or his/her designee will

then oversee the use or disclosure for compliance with these privacy policies. The use or disclosure should not occur until it has been approved by the Chief Privacy Officer or his/her designee.

b) Permitted Uses and Disclosures

Ottawa County may use or disclose protected health information to the extent that the use or disclosure is required by law and the use or disclosure complies with and is limited to the relevant requirements of the law.

Ottawa County will meet the requirements of the following sections of these privacy policies, as applicable, for uses and disclosures required by law:

- (1) Section VI.E.4 concerning, “Uses and Disclosures About Victims of Abuse, Neglect or Domestic Violence”;
- (2) Section VI.E.6 concerning, “Disclosures for Judicial and Administrative Proceedings”, and,
- (3) Section VI.E.7 concerning “Disclosures for Law Enforcement Purposes.

3. Uses and Disclosures for Public Health Activities

- a. Informing the Chief Privacy Officer. Any member of Ottawa County’s affected workforce who receives a request, or who proposes, to use or disclose protected health information for public health activities must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or his/her designee prior to the use or disclosure being made. The Chief Privacy Officer or his /her designee will then oversee the use or disclosure for compliance with these privacy policies. The use or disclosure should not occur until it has been approved.
- b. Permitted Disclosures. An authorized member of Ottawa County’s affected workforce may disclose protected health information for the public health activities and purposes described below:
 - (1) A public health authority that is authorized by law to collect or receive such information for the purpose of preventing or controlling disease, injury, or disability, including but not limited to, the reporting of disease, injury and vital events such as birth or death, and the conduct of public health surveillance, public health investigations, and public health interventions; or, at the direction of the public health authority, to an official of a foreign government agency that is acting in collaboration with a public health authority;
 - (2) A public health authority or other appropriate government authority authorized by law to receive reports of child abuse or neglect;
 - (3) A person subject to the jurisdiction of the United States Food and Drug

Administration:

- (a) To report adverse events (or similar reports with respect to food or dietary supplements), product defects or problems (including problems with the use or labeling of a product), or biological product deviations if the disclosure is made to the person required or directed to report such information to the Food and Drug Administration;
 - (b) To track products if the disclosure is made to a person required or directed by the Food and Drug Administration to track the product;
 - (c) To enable product recalls, repairs, or replacements (including locating and notifying individuals who have received products or product recalls, withdrawals, or other problems); or,
 - (d) To conduct post marketing surveillance to comply with requirements or at the direction of the Food and Drug Administration;
- (4) A person who may have been exposed to a communicable disease or may otherwise be at risk of contracting or spreading a disease or condition, if Ottawa County or another public health authority is authorized or required by law to notify such person as necessary in the conduct of a public health intervention or investigation; or
- (5) An employer, about an individual who is a member of the workforce of the employer, if:
- (a) Ottawa County provides health care to the individual at the request of the employer:
 - i) To conduct an evaluation relating to medical surveillance of the workplace; or,
 - ii) To evaluate whether the individual has a work-related illness or injury; or,
 - (b) The protected health information that is disclosed consists of findings concerning a work-related illness or injury or a work-related medical surveillance;
 - (c) The employer needs such findings in order to comply with its obligations under 29 CFR Parts 1904 through 1928 (concerning occupational safety and health), 30 CFR parts 50 through 90 (concerning mine safety and health), or similar Michigan law, to record such illness or injury or to carry out responsibilities for workplace medical surveillance;
 - (d) Ottawa County provides written notice to the individual that protected health information relating to the medical surveillance of the workplace

and work-related illnesses and injuries is disclosed by the employer:

- i) By giving a copy of the notice to the individual at the time the health care is provided; or
- ii) If the health care is provided on the work site of the employer, by posting the notice in a prominent place at the location where the health care is provided.

4. Uses and Disclosures About Victims of Abuse, Neglect or Domestic Violence

a. **Delivery to Chief Privacy Officer.** Any member of Ottawa County's affected workforce who receives a request, or who proposes, to use or disclose protected health information about a victim of abuse, neglect or domestic violence must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or his/her designee prior to the use or disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the use or disclosure for compliance with these privacy policies and the requirements of Michigan law. The use or disclosure should not occur until it has been approved by the Chief Privacy Officer or his/her designee, unless otherwise required by Michigan law.

b. **General Rule.** Except for reports of child abuse or neglect that are permitted by Section VI.E.3.b.(2) of these privacy policies, an authorized member of Ottawa County's affected workforce may disclose protected health information about an individual that workforce member reasonably believes to be a victim of abuse, neglect, or domestic violence to a government authority, including a social service or protective services agency, authorized by Michigan law to receive reports of such abuse, neglect or domestic violence:

(1) To the extent the disclosure is required by Michigan law and the disclosure complies with and is limited to the relevant requirements of that law;

(2) If the individual agrees to the disclosure; or,

(3) To the extent the disclosure is expressly authorized by Michigan law or regulation and:

(a) The Ottawa County affected workforce member, in the exercise of professional judgment, believes the disclosure is necessary to prevent serious harm to the individual or other potential victim, or is required by Michigan law.

c. **Informing the Individual**

If a member of Ottawa County's affected workforce makes a disclosure permitted by VI.E.4.b, above, Ottawa County shall comply with Michigan law with respect to notice of such disclosure to the patient or client.

5. Uses and Disclosures for Health Oversight Activities.

- a. Delivery to Chief Privacy Officer. Any member of Ottawa County's affected - workforce who receives a request, or who proposes, to use or disclose protected health information for purposes of a health oversight activity must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or her/her designee prior to the use or disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the use or disclosure for compliance with these privacy policies and Michigan and/or other applicable Federal law. The use or disclosure should not occur until it has been approved by the Chief Privacy Officer or his/her designee.

- b. General Rule. An authorized member of Ottawa County's affected workforce may disclose protected health information to a health oversight agency, *e.g.*, state department of health, for oversight activities authorized by law, including: audits; civil, administrative, or criminal investigations; inspections; licensure or disciplinary actions; civil, administrative, or criminal proceedings or other actions; or, other activities necessary for appropriate oversight of:
 - (1) The health care system;
 - (2) Government benefit programs for which health information is relevant to beneficiary eligibility;
 - (3) Entities subject to government regulatory programs for which health information is necessary for determining compliance with program standards; or,
 - (4) Entities subject to civil rights laws for which health information is necessary for determining compliance.

- c. Exceptions.

For purposes of the disclosures permitted by Section VI.E.5.b, above, a health oversight activity does not include an investigation or other activity in which the individual is the subject of the investigation or activity and such investigation or other activity does not arise out of and is not directly related to:

- (1) The receipt of health care;
- (2) A claim for public benefits related to health; or,
- (3) Qualification for, or receipt of, public benefits or services when a patient's health is integral to the claim for public benefits or services.

- d. Joint Activities or Investigations. Notwithstanding the exceptions stated in Section VI.E.5.c, above, if a health oversight activity or investigation is conducted in conjunction with an oversight activity or investigation relating to a claim for public benefits not related to health, the joint activity or investigation is considered a health oversight activity for purposes of this section.
6. Disclosures for Judicial and Administrative Proceedings
- a. Delivery to Chief Privacy Officer. Any member of Ottawa County's affected workforce who receives an order of a court or administrative tribunal or a subpoena, discovery request, or other lawful process must promptly deliver or otherwise communicate the document to the Chief Privacy Officer or his/her designee prior to the disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the disclosure for compliance with these privacy policies. The disclosure should not occur until it has been approved by the Chief Privacy Officer or his/her designee.
 - b. General Rules. Ottawa County will disclose protected health information in the course of any judicial or administrative proceeding:
 - (1) In response to an order of a court or administrative tribunal, provided Ottawa County will disclose only the protected health information expressly authorized by the order; or,
 - (2) In response to a subpoena, discovery request, or other lawful process, that is not accompanied by an order of a court or administrative tribunal, if such disclosure is authorized or required by Michigan law, and only if the procedures followed by the requesting party fully comply with the requirements of Michigan law.
 - c. Satisfactory Assurance
 - (1) That Individual Has Received Notice. Ottawa County will be considered to have received "satisfactory assurance" from a party seeking protected health information that the individual has received notice if Ottawa County receives from that party a written statement and accompanying documentation demonstrating that:
 - (a) The party requesting the information has made a good faith attempt to provide written notice to the individual (or, if the individual's location is unknown, to mail a notice to the individual's last known address);
 - (b) The notice included sufficient information about the litigation or proceeding in which the protected health information is requested to

permit the individual to raise an objection to the court or administrative tribunal; and,

- (c) The time for the individual to raise objections to the court or administrative tribunal has elapsed, and:
 - i) No objections were filed; or,
 - ii) All objections filed by the individual have been resolved by the court or the administrative tribunal and the disclosures being sought are consistent with that resolution.
 - iii) Or if the disclaimer is made in compliance with Michigan law.
- d. Not Limitation on Other Uses and Disclosures. The provisions of this section dealing with disclosures for judicial and administrative proceedings do not supersede other provisions of these privacy policies that otherwise permit or restrict uses of disclosures of protected health information.

7. Disclosures for Law Enforcement Purposes.

- a. Delivery to Privacy Officer. Any member of Ottawa County's workforce who receives a request, or proposes, to disclose protected health information for law enforcement purposes must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or his/her designee prior to the disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the use or disclosure for compliance with these privacy policies and Michigan law. The use or disclosure should not occur until it has been approved by the Chief Privacy Officer or his/her designee, unless otherwise required by law.
- b. Pursuant to Process and As Otherwise Required by Law. An authorized member of Ottawa County's affected workforce may disclose protected health information:
 - (1) As required by Michigan law including laws that require the reporting of certain types of wounds or other physical injuries, except:
 - (a) For laws concerning a public health authority or other appropriate government authority authorized by law to receive reports of child abuse or neglect (see, Section VI.E.3.b.(1).); or,
 - (b) To the extent the disclosure is pursuant to a mandatory reporting law concerning reporting of abuse, neglect, or domestic violence and the disclosure complies with and is limited to the relevant requirements of that law (see, Section VI.E.4.b.(1).

- (2) In compliance with and as limited by relevant requirements of:
 - (a) A court order or court-ordered warrant, or a subpoena or summons issued by a judicial officer;
 - (b) A grand jury subpoena; or,
 - (c) An administrative request, including an administrative subpoena or summons, a civil or an authorized investigative demand, or similar process authorized under law, if disclosure is authorized or required by law.
- c. Limited Information for Identification and Location Purposes. Except for disclosures required by law as permitted by VI.E.7.b, VI.E.7.b, above, an authorized member of Ottawa County's affected workforce may disclose protected health information in response to a law enforcement official's request for such information for the purpose of identifying or locating a suspect, fugitive, material witness, or missing person, provided that:
 - (1) Ottawa County may disclose only the following information:
 - (a) Name and address;
 - (b) Date and place of birth;
 - (c) Social Security number;
 - (d) ABO blood type and rh factor;
 - (e) Type of injury;
 - (f) Date and time of treatment;
 - (g) Date and time of death, if applicable; and,
 - (h) A description of distinguishing physical characteristics, including height, weight, gender, race, hair and eye color, presence of absence of facial hair (beard or moustache), scars, and tattoos.
 - (2) Except as stated in (1), above, a member of Ottawa County's workforce may not disclose for the purposes of identification or location under this section any protected health information related to the individual's DNA or DNA analysis, dental records, or typing, samples or analysis of body fluids or tissue, unless otherwise authorized or required by Michigan law.
- d. Victims of a Crime. Except for disclosures required by law as permitted by VI.E.7. b, VI.E.7.b, above, an authorized member of Ottawa County's affected workforce may disclose protected health information in response to a law enforcement official's request for such information about an individual who is or is suspected to be a victim of a crime, other than disclosures that are subject to Section VI.E.7.b, VI.E.7.b, and Section VI.E.7.c, if:
 - (1) If the individual agrees to the disclosure; or,
 - (2) Ottawa County is unable to obtain the individual's agreement because of

incapacity or other emergency circumstance, provided that the disclosure is otherwise authorized or required by Michigan law.

- e. Decedents. An authorized member of Ottawa County's affected workforce may disclose protected health information about an individual who has died to a law enforcement official for the purpose of alerting law enforcement of the death of the individual if Ottawa County has a suspicion that such death may have resulted from criminal conduct, or if such notice is otherwise required by Michigan law.
- f. Crime on the Premises. An authorized member of Ottawa County's may disclose to a law enforcement official protected health information that he or she believes in good faith constitutes evidence of criminal conduct that occurred on the premises of Ottawa County.
- g. Reporting Crime in Emergencies. If Ottawa County is providing emergency health care in response to a medical or mental health emergency, other than on the premises of Ottawa County, an authorized member of Ottawa County's affected workforce may disclose protected health information to a law enforcement official if such disclosure appears necessary to alert law enforcement to:
 - (1) The commission and nature of a crime;
 - (2) The location of such crime or of the victim(s) of such crime; and,
 - (3) The identity, description, and location of the perpetrator of the crime.

If the member of Ottawa County's affected workforce believes the medical or mental health emergency is the result of abuse, neglect, or domestic violence of the individual in need of emergency health care, the preceding does not apply and any disclosure to a law enforcement official for law enforcement purposes is subject to Section VI.E.7.c of these privacy policies and if the disclosure is authorized or required by Michigan law.

8. Uses and Disclosures About Decedents

- a. Delivery to Privacy Officer. Any member of Ottawa County's affected workforce who receives a request, or proposes, to use or disclose protected health information to a coroner, medical examiner, or funeral director must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or his/her designee prior to the use or disclosure being made. The Privacy Officer will then oversee the use or disclosure for compliance with these privacy policies. The use or disclosure may not occur until it has been approved by the Chief Privacy Officer or his/her designee.
- b. Coroners and Medical Examiners. An authorized member of Ottawa County's

affected workforce may disclose protected health information to a coroner or medical examiner for the purpose of identifying a deceased person, determining a cause of death, or other duties as authorized or required by Michigan law.

c. Funeral Directors. An authorized member of Ottawa County's affected workforce may disclose protected health information to funeral directors consistent with applicable law, as necessary to carry out their duties with respect to the decedent. If necessary for funeral directors to carry out their duties, Ottawa County may disclose the protected health information prior to, and in reasonable anticipation of, the individual's death, in compliance with Michigan law.

~~e-9.d.~~ All uses and disclosures of information about decedents shall comply with the HIPAA Final Rule and the requirement of Michigan law, as may be directed by the Michigan Department of Community Health.

9-10. Uses and Disclosures for Cadaver Organ, Eye or Tissue Donation.

- a. Delivery to Privacy Officer. Any member of Ottawa County's authorized workforce who receives a request, or proposes, to use or disclose protected health information for purposes of cadaver, organ, eye or tissue donation must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or his/her designee prior to the use or disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the use or disclosure for compliance with these privacy policies. The use or disclosure may not occur until it has been approved by the Chief Privacy Officer or his/her designee.
- b. Permitted Uses and Disclosures. An authorized member of Ottawa County's affected workforce may use or disclose protected health information to organ procurement organizations or other entities engaged in the procurement, banking or transplantation of Cadaveric organs, eyes or tissue for the purpose of facilitating organ, eye or tissue donation and transplantation, or authorized by Michigan law.

10-11. Uses and Disclosures for Research Purposes

- a. Delivery to Chief Privacy Officer. Any member of Ottawa County's affected workforce who receives a request, or proposes, to use or disclose protected health information for research purposes must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or his/her designee prior to the use or disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the use or disclosure for compliance with these privacy policies. The use or disclosure may not occur until it has been approved by the Chief Privacy Officer or his/her designee.
- b. Permitted Uses and Disclosures. An authorized member of Ottawa County's affected workforce may use or disclose protected health information for research, regardless of the source of funding for the research, provided that:

- (1) Board Approval of a Waiver of Authorization. Ottawa County obtains documentation that an alteration to or waiver, in whole or in part, of the individual authorization required by Section VI.C.8 of these privacy policies for use and disclosure of protected health information has been approved by either:
 - (a) An Institutional Review Board (IRB) established in accordance with the federal regulations set forth in the HIPAA privacy rule; or,
 - (b) A privacy board that meets the requirements of the HIPAA privacy rule, see, 45 CFR §164.512(i)(1)(i)(B).

The documentation must include all of the information required by the HIPAA privacy rule, see, 45 CFR §164.512(i)(2), and the HIPAA Final Rule, and must comply with those provisions.

- (2) Reviews Preparatory to Research. Ottawa County obtains from the researcher representations that:
 - (a) Use or disclosure is sought solely to review protected health information as necessary to prepare a research protocol or for similar purposes preparatory to research;
 - (b) No protected health information will be removed from Ottawa County by the researcher in the course of the review; and,
 - (c) The protected health information for which use or access is sought is necessary for the research purposes.
- (3) Research on Decedent's Information. Ottawa County obtains from the researcher:
 - (a) Representation that the use or disclosure is sought is solely for research on the protected health information of decedents;
 - (b) Documentation, at the request of Ottawa County, of the death of such individuals; and,
 - (c) Representation that the protected health information for which use or disclosure is sought is necessary for the research purposes.

44.12. Uses and Disclosures to Avert a Serious Threat to Health or Safety.

- a. Delivery to Chief Privacy Officer. Any member of Ottawa County's affected workforce who receives a request, or proposes, to use or disclose protected health information to avert a serious threat to health or safety must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy

Officer or his/her designee prior to the use or disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the use or disclosure for compliance with these privacy policies. The use or disclosure may not occur until it has been approved by the Chief Privacy Officer or his/her designee.

- b. Permitted Uses and Disclosures. An authorized member of Ottawa County's affected workforce may, consistent with Michigan law and standards of ethical conduct, use or disclose protected health information, if the member of Ottawa County's affected workforce, in good faith, believes the use or disclosure:

- (1) Serious and Imminent Threat.

- (a) Is necessary to prevent or lessen a serious and imminent threat to the health or safety of a person or the public; and,

- (b) Is to a person or persons reasonably able to prevent or lessen the threat, including the target of the threat; and,

- (c) Is otherwise authorized by Michigan law.

- (2) Law Enforcement.

Is necessary for law enforcement authorities to identify or apprehend an individual:

- (a) Because of a statement by an individual admitting participation in a violent crime that Ottawa County reasonably believes may have caused serious physical harm to the victim; or,

- (b) Where it appears from all the circumstances that the individual has escaped from a correctional institution or from lawful custody; and,

- (c) Is otherwise authorized by Michigan law.

- c. Uses and Disclosures Not Permitted

A use or disclosure pursuant to Section VI.E.11.b.(2)(a), above, concerning a statement of an individual may not be made if the information described in that section is learned by Ottawa County:

- (1) In the course of treatment to affect the propensity to commit the criminal conduct that is that basis for the disclosure under that section, or counseling or therapy; or,

- (2) Through a request by the individual to initiate or to be referred for the treatment, counseling, or therapy described in Section VI.E.11.b.(2)(a), above; unless,

- (3) The disclosure is required by Michigan law.

A disclosure made pursuant to Section VI.E.11.b.(2)(a), above, shall contain only the statement described in that section and the protected health information described in Section VI.F.7.c.(1) of these privacy policies, unless the disclosure of additional information is required by Michigan law.

42-13. Uses and Disclosures for Specialized Government Functions

- a. Delivery to Privacy Officer. Any member of Ottawa County's affected workforce who receives an a request, or proposes, to use or disclose protected health information for purposes of a specialized government function described in this Section VI.E.12 must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or his/her designee prior to the use or disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the use or disclosure for compliance with these privacy policies. The use or disclosure may not occur until it has been approved by the Chief Privacy Officer or his/her designee.

- b. Military and Veterans Activities

- (1) Armed Forces Personnel.

An authorized member of Ottawa County's affected workforce may use and disclose the protected health information of individuals who are Armed Forces personnel for activities deemed necessary by appropriate military command authorities to assure the proper execution of the military mission, if the appropriate military authority has published by notice in the *Federal Register* the following information:

- (a) Appropriate military command authorities; and,

- (b) The purposes for which the protected health information may be used or disclosed.

- (2) Foreign Military Personnel. An authorized member of Ottawa County's affected workforce may use and disclose the protected health information of individuals who are foreign military personnel to their appropriate foreign military authority for the same purposes for which uses and disclosures are permitted for Armed Forces personnel under the notice published in the *Federal Register*.

- c. National Security and Intelligence Activities.

An authorized member of Ottawa County's affected workforce may disclose protected health information to authorized federal officials for the conduct of lawful intelligence, counter-intelligence, and other national security activities

authorized by the National Security Act, 50 U.S.C. 401 *et seq.*, and implementing authority, e.g., Executive Order 12333.

d. Protective Services for the President and Others.

An authorized member of Ottawa County's affected workforce may disclose protected health information to authorized federal officials for the provision of protective services to the President of the United States or other persons authorized by 18 U.S.C. 3056, or to foreign heads of state or other persons authorized by 22 U.S.C. 2709(a)(3), or to for the conduct of investigations authorized by 18 U.S.C. 871 and 879.

e. Correctional Institutions and Other Law Enforcement Custodial Situations.

(1) Permitted Disclosures. An authorized member of Ottawa County's affected workforce may disclose to a correctional institution or a law enforcement official having lawful custody of an inmate or other individual protected health information about such inmate or individual, if the correctional institution or such law enforcement official represents that such protected health information is necessary for:

- (a) The provision of health care to such individuals;
- (b) The health and safety of such individual or other inmates;
- (c) The health and safety of the officers or employees of or others at the correctional institution;
- (d) The health and safety of such individuals and officers or other persons responsible for the transporting of inmates or their transfer from one institution, facility, or setting to another;
- (e) Law enforcement on the premises of the correctional institution; and,
- (f) The administration and maintenance of the safety, security, and good order of the correctional institution.

(2) No Application After Release. For purposes of this provision, an individual is no longer an inmate when released on parole, probation, supervised release, or otherwise is no longer in lawful custody.

~~13.14.~~ 14. Disclosures for Workers' Compensation

a. Delivery to Chief Privacy Officer

Unless the use or disclosure has previously been approved by the Chief Privacy Officer, a member of Ottawa County's affected workforce who receives a

request, or proposes to disclose protected health information to comply with laws relating to workers compensation or other similar programs, must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or his/her designee prior to the disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the use or disclosure for compliance with these privacy policies. The use or disclosure should not occur until it has been approved by the Chief Privacy Officer or his/her designee.

- b. Permitted Disclosures. An authorized member of Ottawa County's affected workforce may disclose protected health information as authorized by and to the extent necessary to comply with laws relating to workers' compensation or other similar programs, established by law, that provide benefits for work-related injuries or illnesses.

14.15. Disclosure to the Secretary of Health and Human Services

- a. Delivery to Chief Privacy Officer

Any member of Ottawa County's affected workforce who receives a request, or proposes to disclose protected health information to the Secretary of Health and Human Services must promptly deliver or otherwise communicate the request or proposal to the Privacy Officer prior to the disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the disclosure for compliance with these privacy policies. The use or disclosure should not occur until it has been approved by the Chief Privacy Officer or his/her designee.

- b. Permitted Disclosures. Acting through its Chief Privacy Officer or his/her designee, Ottawa County will permit access by the Secretary of Health and Human Services during normal business hours to its facilities, books, records, accounts and other sources of information, including protected health information, that are pertinent to ascertaining compliance with the applicable requirements of the HIPAA privacy rule. If the Secretary of Health and Human Services determines that exigent circumstances exist, such as when documents may be hidden or destroyed, Ottawa County will permit access by the Secretary of Health and Human Services at any time and without notice.

If any information required of Ottawa County under this section is in the exclusive possession of any other agency, institution, or person and that other agency, institution or person fails or refuses to furnish the information, the Chief Privacy Officer or his/her designee will so certify and set forth what efforts Ottawa County has made to obtain the information.

15.16. Disclosures by Whistleblowers

A member of Ottawa County's affected workforce or a business associate may disclose protected health information, provided that:

- a. The workforce member or business associate believes in good faith that Ottawa

County has engaged in conduct that is unlawful or otherwise violates professional or clinical standards, or that the care, services or conditions provided by Ottawa County potentially endangers one or more patients, workers, or the public; and,

b. The disclosure is to:

- (1) A health oversight agency or public health authority authorized by law to investigate or otherwise oversee the relevant conduct or conditions of Ottawa County or to an appropriate health care accreditation organization for the purpose of reporting the allegation of failure to meet professional standards or misconduct by Ottawa County; or,
- (2) An attorney retained by or on behalf of the workforce member or business associate for the purpose of determining the legal options of the workforce member or business associate with regard to the conduct described in Section a., above.

The disclosure does not need to be approved by the Chief Privacy Officer or his/her designee before it is made.

46-17. Disclosures by Workforce Members Who are Victims of a Crime

A workforce member who is the victim of a criminal act may disclose protected health information to a law enforcement official, provided that:

- a. The protected health information disclosed is about the suspected perpetrator of the criminal act; and,
- b. The protected health information disclosed is limited to the following information:
 - (1) Name and address;
 - (2) Date and place of birth;
 - (3) Social Security number;
 - (4) ABO blood type and Rh factor;
 - (5) Type of injury;
 - (6) Date and time of treatment;
 - (7) Date and time of death, if applicable; and,
 - (8) A description of distinguishing physical characteristics, including height, weight, gender, race, hair and eye color, presence of absence of facial hair (beard or moustache), scars, and tattoos.

- c. The disclosure does not need to be approved by the Chief Privacy Officer or his/her designee before it is made.

47.18. Disclosures to Business Associates

- a. Delivery to Chief Privacy Officer.

Unless the use or disclosure has previously been approved by the Chief Privacy Officer or his/her designee, any member of Ottawa County's workforce who receives a request, or proposes, to disclose protected health information to a business associate of Ottawa County must promptly deliver or otherwise communicate the request or proposal to the Chief Privacy Officer or his/her designee prior to the disclosure being made. The Chief Privacy Officer or his/her designee will then oversee the use or disclosure for compliance with these privacy policies. The use or disclosure may not occur until it has been approved by the Chief Privacy Officer or his/her designee.

- b. Permitted Disclosures. Authorized members of Ottawa County's affected workforce may disclose protected health information to a business associate and may allow a business associate to create or receive protected health information on Ottawa County's behalf, if Ottawa County has a written contract with the business associate that meets the requirements of the HIPAA privacy rule.

F. Uses and Disclosures for Marketing

- 1. General Rule

Except as stated in section VI.F, below, a member of Ottawa County's affected workforce may not use protected health information for marketing without an authorization that meets the applicable requirements of Section VI.C of these privacy policies, except as stated in this Section VI.F.

Any use of protected health information for marketing without an authorization must be approved in advance by the Chief Privacy Officer or his/her designee.

- 2. Exceptions

An authorization does not need to be obtained if Ottawa County uses or discloses protected health information to make a marketing communication to an individual that:

- a. Occurs in a face-to-face encounter with the individual;
- b. Concerns products or services of nominal value (e.g., pens, refrigerator magnets); or,
- c. Concerns the health-related products and services of Ottawa County or of a third

party and the communication meets the applicable conditions stated below.

An authorized member of Ottawa County's affected workforce may disclose protected health information for purposes of such marketing communications to a business associate that assists Ottawa County with such communications.

3. Conditions

For a marketing communication to be permitted under Section VI.F.2, above, the following conditions must be met:

a. The communication must:

- (1) Identify Ottawa County as the party making the communication;
- (2) If Ottawa County has received or will receive direct or indirect remuneration for making the communication, prominently state that fact; and,
- (3) Except when the communication is contained in a newsletter or similar type of general communication device that Ottawa County distributes to a broad cross section of patients or other broad groups of individuals, contain instructions describing how the individual may opt out of receiving future such communication.

b. If Ottawa County uses or discloses protected health information to target the communication to individuals based on their health status or condition:

- (1) Ottawa County must determine prior to making the communication that the product or service being marketed may be beneficial to the health of the type or class of individual targeted; and,
- (2) The communication must explain why the individual has been targeted and how the product or services relates to the health of the individual.

c. Ottawa County must make reasonable efforts to ensure that individuals who decide to opt out of receiving future marketing communications are not sent future communications.

4. "Marketing" Defined.

a. General Rule. Except as stated in Section VI.F.4.b, below, for purposes of these privacy policies, "marketing" means to make a communication about a product or service a purpose of which is to encourage recipients of the communication to purchase or use the product or service.

b. Exception. Marketing does not include:

- (1) A communication that is made orally; or,

(2) A communication that is in writing and Ottawa County does not receive direct or indirect remuneration from a third party for making the communication; and

(3) The communication is made by Ottawa County:

(a) For the purpose of describing the entities participating in a health care provider network or health plan network, or for the purposes of describing if and the extent to which a product or service (or payment for that product or service) is provided by a entity covered by the HIPAA privacy rule or included in a plan of benefits; or,

(b) That are tailored to the circumstances of a particular individual and the communications are:

Made by Ottawa County as part of the treatment of the individual, and for the purposes of furthering the treatment of that individual; or,

Made by Ottawa County to an individual in the course of managing the treatment of that individual, or for the purpose of directing or recommending to that individual alternative treatments, therapies, health care providers, or settings of care.

G. Uses and Disclosures for Fundraising.

1. General Rule

An authorized member of Ottawa County's affected workforce may use, or disclose to a business associate or to an institutionally related foundation, the following protected health information for the purpose of raising funds for its own benefit, without an authorization meeting the requirements of Section VI.C of these privacy policies:

- a. Demographic information relating to an individual; and,
- b. Dates of health care provided to an individual.

Any use of protected health information for the purpose of raising funds for Ottawa County's benefit without an authorization must be approved in advance by the Chief Privacy Officer or his/her designee.

- 2. **Opting Out.** Any fundraising materials Ottawa County sends to an individual must include a description of how the individual may opt out of receiving any further fundraising communications.

Ottawa County must make reasonable efforts to ensure that individuals who decide to opt out of receiving future marketing communications are not sent future communications.

H. Verification of Identity and Authority.

1. General Rule.

Prior to any disclosure of protected health information, the authorized member of Ottawa County's affected workforce who is making the disclosure must:

- a. Except with respect to disclosures under VI.D, "Uses and Disclosures Requiring an Opportunity for the Individual to Agree or to Object" of these privacy policies, verify the identity of a person requesting protected health information and the authority of that person to have access to protected health information under these privacy policies, if the identity of that person is not known to Ottawa County; and,
- b. Obtain any documentation, statements, or representations, whether oral or written, from the person requesting the protected health information when such documentation, statement, or representation is a condition of the disclosure under these privacy policies.

2. Personal Representatives

Unless the person and his or her authority is known to Ottawa County, the authorized member of Ottawa County's affected workforce who is making a disclosure to an individual's personal representative shall verify the person's identity by way of a government issued document with a picture (e.g., a driver's license, passport) and verify the person's authority (e.g., requiring a copy of a power of attorney, asking questions to establish relationship to a child.)

3. Conditions on Disclosures

If a disclosure is conditioned by these privacy policies on particular documentation, statements, or representations from the person requesting the protected health information, the authorized member of Ottawa County's affected workforce who is making the disclosure may rely, if such reliance is reasonable under the circumstances, on documentation, statements, or representations that, on their face, meet the applicable requirements.

In this regard:

- a. The conditions in Section VI.E.7.b.(2)(c) under "Disclosures for Law Enforcement Purposes" of these privacy policies may be satisfied by the administrative subpoena or similar process or by a separate written statement that, on its face, demonstrates that the applicable requirements have been met.
- b. The documentation required by Section VI.E.10.b.(1), "Board Approval of a Waiver of Authorization" of these privacy regulations, may be satisfied by one or

more written statements provided that each is appropriately dated and signed in accordance with the HIPAA privacy rule, 45 CFR §164.512(i)(2)(i)&(v).

4. Identity of Public Officials

Ottawa County may rely, if such reliance is reasonable under the circumstances, on any of the following to verify identity when the disclosure of protected health information is to a public official or a person acting on behalf of a public official:

- a. If the request is made in person, presentation of an agency identification badge, other official credentials, or other proof of government status;
- b. If the request is made in writing, the request is on the appropriate government letterhead; or,
- c. If the disclosure is to a person acting on behalf of a public official, a written statement on appropriate government letterhead that the person is acting under the government's authority or other evidence or documentation of agency, such as a contract for services, memorandum of understanding, or purchase order, that establishes that the person is acting on behalf of the public official.

5. Authority of Public Officials

Ottawa County may rely, if such reliance is reasonable under the circumstances, on any of the following to verify authority when the disclosure of protected health information is to a public official or a person acting on behalf of a public official:

- a. A written statement of the legal authority under which the information is requested, or , if a written statement would be impractical, on oral statement of such legal authority;
- b. If a request is made pursuant to legal process, warrant, subpoena, order or other legal process issued by a grand jury or a judicial or administrative tribunal is presumed to constitute legal authority.

6. Exercise of Professional Judgment

The verification requirements of this section are met if a member of Ottawa County's affected workforce relies on the exercise of professional judgment in making a use or disclosure in accordance with Section VI.D, "Uses or Disclosures Requiring an Opportunity for the Individual to Agree or Object" of these privacy policies or acts on a good faith belief in making a disclosure in accordance with Section VI.E.11, "Uses or Disclosures to Avert a Serious Threat to Health or Safety" of these privacy policies.

I. How to Resolve Conflicting Consents and Authorizations.

1. Comply with More Restrictive

If Ottawa County obtains an authorization or written legal permission from the individual for a disclosure of protected health information to carry out treatment, payment, or health care operations, Ottawa County may disclose that protected health information only in accordance with the more restrictive authorization, or other written legal permission from the individual.

2. Attempt to Resolve Conflict

Ottawa County may attempt to resolve a conflict between an authorization or other written legal permissions, from the individual by:

- a. Obtaining a new authorization from the individual for the disclosure; or,
 - b. Communicating orally or in writing with the individual in order to determine the individual's preference in resolving the conflict. Ottawa County must document the individual's preference and may only disclose protected health information in accordance with the individual's preference.
3. Communicate to Chief Privacy Officer. Any member of Ottawa County's affected workforce who encounters conflicting authorizations or other legal permissions must promptly communicate the situation and documents to the Chief Privacy Officer or his/her designee who will determine how Ottawa County should proceed.

J. Prior Consents and Authorizations

1. General Rule

Notwithstanding other sections of these privacy policies, Ottawa County may continue to use or disclose protected health information pursuant to an authorization or other express legal permission obtained from an individual permitting the use or disclosure of protected health information if:

- a. It was obtained prior to April 14, 2003;
 - b. It does not comply with Section VI.B, "Consent for Uses and Disclosures to Carry Out Treatment, Payment and Health Care Operations" or Section VI.C, "Uses and Disclosures for Which an Authorization is Required" of these privacy policies; and,
 - c. The use or disclosure complies with the conditions stated in Section VI.J.2, below.
2. Conditions
- a. For Treatment, Payment, or Health Care Operations. If the authorization or other

express legal permission obtained from an individual permits a use or disclosure for purposes of carrying out treatment, payment, or health care operations, Ottawa County may, with respect to protected health information it received before April 14, 2003, and to which the authorization or other express legal permission obtained from the individual applies, use or disclose such information for purposes of carrying out treatment, payment, and health care operations, provided that:

- (1) Ottawa County does not make any use or disclosure that is expressly excluded from the authorization or other express legal permission obtained from the individual; and,
- (2) Ottawa County complies with all limitations placed by the authorization or other express legal permission obtained from the individual.

b. For Purpose Other Than Treatment, Payment, or Health Care Operations. If the authorization, or other express legal permission obtained from an individual specifically permits a use or disclosure for a purpose other than to carry out treatment, payment or health care operations, Ottawa County may, with respect to protected health information that Ottawa County created or received before April 14, 2003, and to which the authorization, or other express legal permission obtained from the individual applies, make such use or disclosure, provided that:

- (1) Ottawa County does not make any use or disclosure that is expressly excluded from the authorization, or other express legal permission obtained from the individual; and,
- (2) Ottawa County complies with all limitations placed by the authorization, or other express legal permission obtained from the individual.

c. Research. In the case of an authorization, or other express legal permission obtained from the individual that identifies a specific research project that includes treatment of individuals:

- (1) If the authorization, or other express legal permission obtained from an individual specifically permits a use or disclosure for purposes of the project, Ottawa County may, with respect to protected health information that it created or received either before or after April 14, 2003, and to which the consent or authorization applies, make such use or disclosure for purposes of that project, provided that Ottawa County complies with all limitations placed by the authorization, or other express legal permission obtained from the individual.
- (2) If the authorization, or other express legal permission obtained from an individual is a general consent to participate in the project, and Ottawa County is conducting or participation in the research, Ottawa County may, with respect to protected health information that is created or received as part

of the project before or after April 14, 2003, make a use or disclosure for purposes of that project, provided that Ottawa County complies with all limitations placed by the authorization, or other express legal permission obtained from the individual.

- d. Agreed to Restriction. If, after April 14, 2003, Ottawa County agrees to a restriction requested by an individual under Section VII.A.1, "Restriction of Uses and Disclosures" of these privacy policies, a subsequent use or disclosure of protected health information that is subject to the restriction based on an authorization, or other express legal permission obtained from an individual, as given effect by Section VI.J.1, "Prior Consents and Authorizations" of these privacy policies, must comply with such restriction.

VII. RIGHTS OF INDIVIDUALS

A. Right to Request Privacy Protection

1. Restriction of Uses and Disclosures

- a. Generally. Ottawa County will permit an individual to request that Ottawa County restrict:
 - (1) Uses and disclosures of protected health information about the individual to carry out treatment, payment or health care operations; and,
 - (2) Disclosures permitted under Section VI.D.3, "Persons Involved in the Individual's Care; Notification" of these privacy policies, for involvement in the individual's care and notification purposes.

Whether or not Ottawa County will agree to the restriction will be determined by the Chief Privacy Officer or his/her designee. If a restriction is agreed to, a written or electronic record of that restriction shall be retained by Ottawa County for six years from the date of its creation or the date when it was last in effect, whichever is later.

If Ottawa County agrees to a restriction, the protected health information shall not be used or disclosed in violation of such restriction, except that, if the individual who requested the restriction is in need of emergency treatment and the restricted protected health information is needed to provide the emergency treatment, the restricted protected health information may be used by Ottawa County, or may be disclosed by an authorized member of Ottawa County's affected workforce to a health care provider, to provide such treatment to the individual. If the information is disclosed to a health care provider for emergency treatment, the member of Ottawa County's affected workforce making the disclosure shall request that health care provider not further use or disclose the information.

A restriction agreed to by Ottawa County under this Section VII.A.1.a is not effective to prevent uses or disclosures:

- (a) To the individual when requested by the individual pursuant to the individual's right of access to the information (see, Section VII.B, "Right of Access").
- (b) When the use or disclosure does not require an authorization, or opportunity to agree or object is not required (see, Section VI.E, "Uses and Disclosures for which Consent, an Authorization, or an Opportunity to Agree or Disagree is Not Required").

b. Termination of Restriction. Ottawa County may terminate its agreement to a restriction under this Section VII.A.1, if:

- (1) The individual agrees to or requests the termination in writing;
- (2) The individual orally agrees to the termination and the oral agreement is documented in the patent or client's records; or,
- (3) Ottawa County informs the individual that it is terminating its agreement to the restriction, except that such termination shall be effective only with respect to protected health information created or received after Ottawa County has so informed the individual.

2. Restriction on Means and Location of Communications.

a. Generally. Ottawa County shall permit individuals to request and, subject to the conditions stated below, shall accommodate reasonable requests by individuals to receive communications of protected health information from Ottawa County by alternative means or at alternative locations.

The request by the individual to receive communications by alternative means or at alternative locations must be in writing.

b. Conditions. Ottawa County's accommodation of such requests shall be conditioned on:

- (a) When appropriate, information as to how payment, if any, will be handled; and,
- (b) Specification by the individual of an alternative address or other method of contact.

Ottawa County shall not require an explanation from the individual as to the basis for the request as a condition of providing communications on a confidential basis.

B. Right of Access

1. Generally

Except when access is denied under Section VII.B.5 , “Denial of Access” of these privacy policies, an individual shall have a right of access to inspect and obtain a copy of protected health information about the individual for as long as the protected health information is maintained in that record set except for:

- a. Psychotherapy notes;
- b. Information compiled in reasonable anticipation of, or for use in, a civil, criminal, or administrative action or proceeding.
- c. Protected health information subject to the Clinical Laboratory Improvement Amendments of 1988 (CLIA), 42 U.S.C. Section 263a, to the extent the provision of access to the individual would be prohibited by law.

2. Request for Access.

The individual’s request for access must be submitted in writing to the Chief Privacy Officer or his/her designee.

3. Action on Request for Access.

a. Time Limits for Action.

The Chief Privacy Officer or his/her designee shall act on a request for access no later than thirty (30) calendar days after Ottawa County’s receipt of the request. However, if the request for access is for protected health information that is not maintained or accessible to Ottawa County on-site, the Chief Privacy Officer or his/her designee shall act on the request for access no later than sixty (60) calendar days after Ottawa County’s receipt of the request.

If the Chief Privacy Officer or his/her designee is unable to take an action on the request within the applicable time required by the preceding paragraph, the Chief Privacy Officer or his/her designee may extend the time for the action by no more than thirty (30) calendar days, provided:

(1) Within the applicable time required by the preceding paragraph, the Chief Privacy Officer or his/her designee shall provide the individual with a written statement of the reason(s) for the delay and the date by which Ottawa County will complete its action on the request; and,

(2) Only one such extension shall be permitted on a request for access.

b. Inform Individual of Action on Request

If the request is granted, in whole or in part, the Chief Privacy Officer or his/her designee shall inform the individual of the acceptance of the request and provide the access requested in accordance with Section VII.B.4, below.

If the request is denied, in whole or in part, the Chief Privacy Officer or his/her designee shall provide the individual with a written denial, in accordance with Section VII.B.6.b, "Actions if Access is Denied," of these privacy policies.

4. Providing Access

- a. Access. If the individual is granted access, in whole or in part, to protected health information, Ottawa County shall provide the access requested by the individual, including inspection and obtaining a copy, or both, of the protected health information about the individual in designated record sets. If the same protected health information that is the subject of a request for access is maintained in more than one designated record set or at more than one location, the protected health information will only be produced once in response to a request for access.

- b. Form and Format.

The protected health information will be provided to the individual in the form or format requested by the individual, if it is readily producible in that form or format. If it is not readily producible in that form or format, it shall be provided in a readable hard copy form or such other form or format as agreed to by Ottawa County and the individual or as required by law.

- c. Summary In Lieu of Access. The individual may be provided a summary of the protected health information requested, in lieu of providing access to the protected health information, or may be provided an explanation of the protected health information to which access has been provided, if:

(1) The individual agrees in advance to such a summary or explanation; and,

(2) The individual agrees in advance to the fees imposed, if any, by Ottawa County for such summary or explanation.

(2)d. d. If requested, and to the extent records will be readily producible in electronic format, in compliance with the HIPAA Final Rule.

- d.e. Time and Manner of Access.

Access shall be provided in a timely manner as stated in Section VII.B.3.a, "Action on Request for Access," of these privacy policies, including arranging with the individual for a convenient time and place to inspect or obtain a copy of the protected health information, or mailing the copy to the individual at the individual's request. Ottawa County may discuss the scope, format and other

aspects of the request for access with the individual as necessary to facilitate the timely provision of access.

e.f. Fees

If the individual requests a copy of the protected health information, or agrees to a summary or explanation of such information, Ottawa County shall impose charges as set forth in Appendix 6 to these privacy policies, as permitted by law.

5. Denial of Access

a. Unreviewable Grounds for Denial

Ottawa County may deny an individual access without providing the individual an opportunity for review, in any the following circumstances:

- (1) Information Is Exempted. The protected health information is exempted from the right of access as stated in Section VII.B.1, "Generally" of these privacy policies.
- (2) Inmates. When Ottawa County is acting under the direction of a correctional institution, Ottawa County may deny, in whole or in part, an inmate's request to obtain a copy of protected health information, if obtaining such copy would jeopardize the health, safety, security, custody, or rehabilitation of the individual or of other inmates, or the safety of any officer, employee, or other person at the correctional institution or reasonable for the transporting of the inmate or if denial is otherwise authorized by law.
- (3) Research. An individual's access to protected health information created or obtained by Ottawa County in the course of research that included treatment may be temporarily suspended for so long as the research is in progress, provided that the individual has agreed to the denial of access when consenting to participate in the research that includes treatment, and Ottawa County has informed the individual that the right of access will be reinstated upon completion of the research.
- (4) Information Obtained From Others. An individual's access may be denied if the protected health information was obtained from someone other than a health care provider under a promise of confidentiality and the access requested would be reasonably likely to reveal the source of the information, if denial is otherwise authorized by law.

b. Reviewable Grounds for Denial. Ottawa County may deny an individual access, provided that the individual is given a right to have the denial reviewed as stated in Section VII.B.6.c, "Review of Denial" of these privacy policies, in any the following circumstances:

- (1) Endangerment. A licensed health care professional has determined, in the

exercise of professional judgment, that the access requested is reasonably likely to endanger the life or physical safety of the individual or another person;

(2) Reference to Another Person. The protected health information makes reference to another person (unless such other person is a health care provider) and a licensed health care professional has determined, in the exercise of professional judgment, that the access requested is reasonably likely to cause substantial harm to such other person; or,

(3) Personal Representative. The request for access is made by the individual's personal representative and a licensed health care professional has determined, in the exercise of professional judgment, that the provision of access to such personal representative is reasonably likely to cause substantial harm to the individual or another person.

6. Actions if Access is Denied

If an individual's access to protected health information is denied, in whole or in part, Ottawa County shall comply with the following:

a. Making Other Information Accessible. Ottawa County shall, to the extent possible, give the individual access to any other protected health information requested, after excluding the protected health information as which Ottawa County had the ground to deny access.

b. Written Denial. Ottawa County shall provide a written denial to the individual within the applicable time period stated in Section VII.B.6.c, "Review of Denial" of these privacy policies. The denial shall contain:

(1) The basis for the denial;

(2) If applicable, a statement of the individual's review rights, including a description of how the individual may exercise such review rights;

(3) A description of how the individual may complain pursuant to Ottawa County's complaint procedures or to the Secretary of Health and Human Resources, including the name or title, and the telephone number of the Ottawa County contact person or office designated to receive complaints.

(4) If Ottawa County does not maintain the protected health information that is the subject of the individual's request for access, and Ottawa County knows where the requested information is maintained, a statement informing the individual where to direct the request for access.

c. Review of Denial

If access is denied on a ground permitted under Section VII.B.5.b, “Reviewable Grounds for Denial” of these privacy policies, above, the individual shall have the right to have the denial reviewed by a licensed health care professional who is designated by the Chief Privacy Officer or his/her designee to act as a reviewing official and who did not participate in the original decision to deny.

The individual’s request for review shall be promptly referred to that designated reviewing official. The designated reviewing official shall then determine, within a reasonable period of time, whether or not to deny the access requested based on the standards stated in Section VII.B.5.b, “Reviewable Grounds for Denial” of these privacy policies.

The Chief Privacy Officer or his/her designee shall then promptly provide written notice to the individual of the determination of the designated reviewing official and implement the designated reviewing official’s determination.

7. Documentation

The Chief Privacy Officer or his/her designee shall maintain, or cause to be maintained, documentation of:

- a. The designated record sets that are subject to access by individuals; and,
- b. The titles of the persons or offices responsible for receiving and processing request for access by individuals.

The documentation shall be maintained by Ottawa County in written or electronic form for six years after the date of its creation or the date when it was last in effect, whichever is later.

C. Right to Request Amendment.

1. Generally

Except when access is denied under Section VII.C.5, “Grounds for Denying the Amendment” of these privacy policies, an individual shall have a right to have Ottawa County amend protected health information or a record about the individual in a designated record set for as long as the protected health information is maintained in the designated record set.

2. Request for Amendment

The individual’s request for amendment must be submitted in writing to the designated medical records custodian and must state in the written request a reason to support the requested amendment. Individuals shall be informed in advance of these requirements in Ottawa County’s Notice of Privacy Practices.

3. Action on Request for Amendment

- a. Time Limits for Action. Ottawa County shall act on a request for access no later than sixty (60) calendar days after Ottawa County's receipt of the request.

If the designated medical records custodian is unable to take an action on the request within that sixty (60) day period, applicable time required by the preceding paragraph, Ottawa County may extend the time for the action by no more than thirty (30) calendar days, provided:

- (1) Within that sixty (60) day period, the designated medical records custodian shall provide the individual with a written statement of the reason(s) for the delay and the date by which Ottawa County will complete its action on the request; and,

- (2) Only one such extension shall be permitted on a request for amendment.

- b. Inform Individual of Action on Request. If the request for amendment is accepted, in whole or in part, the designated medical records custodian shall inform the individual of the acceptance of the request and make the amendment requested in accordance with Section VII.C.4.a, above, of these privacy policies.

If the request for amendment is denied, in whole or in part, the designated medical records custodian shall provide the individual with a written denial, in accordance with Section VII.C.6, "Actions if Amendment is Denied" of these privacy policies, and shall take the other actions required by that Section VII.C.6.

4. Accepting the Amendment

If the individual's request for amendment is accepted, in whole or in part, the designated medical records custodian shall:

- a. Making the Amendment. The designated medical records custodian shall make the appropriate amendment to the protected health information or record that is the subject of the request for amendment by, at a minimum, identifying the records in the designated record set that are affected by the amendment and appending or otherwise providing a link to the location of the amendment.
- b. Informing the Individual. The designated medical records custodian shall inform the individual as stated in Section VII.C.3.b, "Inform Individual of Action on Request" of these privacy policies, that the amendment has been accepted and obtain the individual's identification of and agreement to have Ottawa County notify the relevant persons with the amendment needs to be shared in accordance with Section VII.C.4.c, below.
- c. Informing Others. The designated medical records custodian shall make a reasonable effort to inform and provide the amendment within a reasonable time

to:

- (1) Persons identified by the individual as having received protected health information about the individual and needing amendment;
- (2) Persons, including Ottawa County business associates, that Ottawa County knows have the protected health information that is the subject of the amendment and that may have relied, or could foreseeably rely, on such information to the detriment of the individual.

5. Grounds for Denying the Amendment.

An individual's request to amend protected health information may be denied if the designated medical records custodian determines that the protected health information or record that is the subject of the request:

- a. Was not created by Ottawa County, unless the individual provides a reasonable basis to believe that the originator of the protected health information is no longer available to act on the requested amendment;
- b. Is not part of the designated record set;
- c. Would not be available for inspection (see, Section VII.B.1, "Generally" of these privacy policies); or,
- d. Is accurate and complete.

6. Actions if Amendment is Denied

If an individual's requested amendment is denied, in whole or in part, Ottawa County shall comply with the following:

- a. Written Denial. The designated medical records custodian shall provide a written denial to the individual within the applicable time period stated in Section VII.C.3.a, "Time Limits for Action" of these privacy policies. The denial shall contain:
 - (1) The basis for the denial;
 - (2) The individual's right to submit a written statement disagreeing with the denial and how the individual may file such a statement;
 - (3) A statement that, if the individual does not submit a statement of disagreement, the individual may request that Ottawa County provide the individual's request for amendment and the denial with any future disclosures of the protected health information that is the subject of the requested amendment; and,

(4) A description of how the individual may complain to Ottawa County pursuant to Ottawa County's complaint procedure or to the Secretary of the United States Department of Health and Human Services. The description shall include the name or title and telephone number of the contact person or office designed by Ottawa County to receive complaints.

b. Statement of Disagreement

The individual may submit a written statement disagreeing with the denial of all or part of a requested amendment and the basis for such disagreement. The written statement must be not more than five (5) pages.

c. Rebuttal Statement

The Chief Privacy Officer or his/her designee, may prepare, or cause to be prepared, a written rebuttal of Ottawa County to the individual's statement of disagreement. If a rebuttal statement is prepared, a copy of it shall be provided to the individual who submitted the statement of disagreement.

d. Recordkeeping. As appropriate, the designated medical records custodian shall identify the record or protected health information in the designated record set that is the subject of the disputed amendment and append or otherwise link the individual's request for amendment, Ottawa County's denial of the request, the individual's statement of disagreement, if any, and Ottawa County's rebuttal, if any, to the designated record set.

e. Future Disclosures

(1) If a statement of disagreement has been submitted by the individual, Ottawa County will include the material appended in accordance with section VII.C.6.d, above, or, at the election of the Privacy Officer, an accurate summary of any such information, with any subsequent disclosure of the protected health information to which the disagreement relates.

(2) If the individual has not submitted a written statement of disagreement, Ottawa County will include the individual's request for amendment and its denial, or an accurate summary of such information, with any subsequent disclosure of the protected health information only if the individual has requested such action in accordance with Section VII.C.6.a.(3), "Actions if Amendment is Denied" of these privacy policies.

(3) When a subsequent disclosure described in (1) or (2), above, is made using a transaction that does not permit the additional material to be included with the disclosure, Ottawa County shall separately transmit the material to the recipient of the transaction.

7. Documentation

The Chief Privacy Officer or his/her designee shall maintain documentation of the titles of the persons or offices responsible for receiving and processing requests for amendment. The documentation shall be maintained by Ottawa County in written or electronic form for six (6) years after the date the notice was last in effect.

D. Right to an Accounting of Disclosures

1. Right to Accounting

- a. General Rule. Except as stated in VII.D.1.b, "Exceptions" or VII.D.1.c "Suspension of Right for Certain Disclosures", below, an individual shall have a right to receive an accounting of disclosures of protected health information made by Ottawa County in the six (6) years prior to the date on which the accounting is requested or for such shorter period as the individual may request.
- b. Exceptions. The right to an accounting of disclosures does not apply to the following types of disclosures:
 - (1) Pursuant to and in compliance with a consent that complies with Section VI.C, "Consent for Uses and Disclosures to Carry Out Treatment, Payment and Health Care Operations" (see: page 20) of these privacy policies, to carry out treatment, payment and health care operations;
 - (2) To individuals of protected health information about them;
 - (3) For national security or intelligence purposes as provided in Section VI.F.12.c, "National Security and Intelligence Activities" of these privacy policies);
 - (4) To correctional institutions or law enforcement officials as provided in Section VI.F.12.e, "Correctional Institutions and Other Law Enforcement Custodial Situations" of these privacy policies;
 - (5) That occurred prior to April 14, 2003.
- c. Suspension of Right for Certain Disclosures. An individual's right to receive an accounting of disclosures to a health oversight agency (see, Section VI.F.5, "Uses and Disclosures for Health Oversight Activities" of these privacy policies) or to a law enforcement official (see, Section VI.F.7, "Disclosures for Law Enforcement Purposes" of these privacy policies) shall be temporarily suspended for the time specified by the agency or official, if the agency or official provides Ottawa County with a written statement that such an accounting to the individual would be reasonably likely to impede the agency's activities and specifying the time for which such a suspension is required.

If the agency or official statement is made orally, the Chief Privacy Officer or his/her designee shall:

- (1) Document the statement, including the identity of the agency or official making the statement;
- (2) Temporarily suspend the individual's right to an accounting of disclosures subject to the statement; and,
- (3) Limit the temporary suspension to no longer than thirty (30) calendar days from the date of the oral statement, unless a written statement as described above is submitted during that time.

2. Content of the Accounting

The written accounting provided to the individual shall meet the following requirements:

- a. Except as otherwise stated in Section VII.D.1.b, "Exceptions" of these privacy policies, the accounting must include the disclosures of protected health information that occurred during the period the individual requests up to a maximum of six (6) years prior to the date of the request, including disclosures to or by business associates of Ottawa County.
- b. The accounting must include for each disclosure:
 - (1) The date of the disclosure;
 - (2) The name of the entity or person who received the protected health information and, if known, the address of such entity or person;
 - (3) A brief description of the protected health information disclosed; and,
 - (4) A brief statement of the purpose of the disclosure that reasonably informs the individual of the basis for the disclosure; or, in lieu of such statement:
 - (a) A copy of the individual's written authorization pursuant to Section VI.D, "Uses and Disclosures for Which an Authorization is Required" of these privacy policies;
 - (b) A copy of a written request for disclosure by the Secretary of Health and Human Services under Section VI.F.14, "Disclosure to the Secretary of Health and Human Services" of these privacy policies, if any; or,
 - (c) A copy of a written request for disclosure under Section VI.F, "Uses and Disclosures for which Consent, an Authorization, or an Opportunity to Agree or Object is Not Required" of these privacy policies, if any.

- c. If, during the period covered by the accounting, Ottawa County has made multiple disclosures of protected health information to the same person or entity for a single purpose under Section VI.F.14, "Disclosure to the Secretary of Health and Human Services" or Section VI.F, "Uses and Disclosures for which Consent, an Authorization, or an Opportunity to Agree or Object is Not Required" of these privacy policies, or pursuant to a single authorization under Section VI.D, "Uses and Disclosures for Which an Authorization is Required" of these privacy policies, the accounting may with respect to such multiple disclosures, provide:

- (1) The information required by Section VII.D.2.b of these privacy policies, for the first disclosure during the accounting period;
- (2) The frequency, periodicity, or number of the disclosures made during the accounting period; and,
- (3) The date of the last such disclosure during the accounting period.

3. Provision of the Accounting.

- a. Time Limit to Provide the Accounting. The Chief Privacy Officer or his/her designee shall act on a request for an accounting no later than sixty (60) calendar days after Ottawa County's receipt of the request.

Within that sixty (60) day period, the Chief Privacy Officer or his/her designee shall:

- (1) Provide the individual with the accounting requested; or,
- (2) If the Chief Privacy Officer or his/her designee is unable to take an action on the request within that sixty (60) day period, the Chief Privacy Officer or his/her designee may extend the time for the action by no more than thirty (30) calendar days, provided:

Within that sixty (60) day period, the Chief Privacy Officer or his/her designee shall provide the individual with a written statement of the reason(s) for the delay and the date by which Ottawa County will provide the accounting; and,

Only one such extension shall be permitted on a request for amendment.

- b. Fee for Accounting. The first accounting to an individual in any twelve (12) month period will be provided to the individual without charge. For each subsequent request for an accounting by the same individual with the twelve (12) month period shall be as stated in Appendix F to these privacy policies; before charging the fee, however, the Chief Privacy Officer or his/her designee shall notify the individual in advance of the fee and provide the individual an opportunity to withdraw or modify the request for a subsequent accounting in order to avoid or reduce the fee.

- c. Documentation. The Chief Privacy Officer shall document and retain the following:

The information required to be included in an accounting under Section VII.D.2, “Content of Accounting” of these privacy policies, for disclosures of protected health information that are subject to an accounting;

The written accounting that is provided to the individual under this section; and,

The titles of the persons of offices responsible for receiving and processing requests for an accounting by individuals.

The documentation shall be maintained by Ottawa County in written or electronic form for six years after the date of its creation or the date when it was last in effect, whichever is later.

VIII. PERSONAL REPRESENTATIVES.

A. General Rule

Except as otherwise stated or permitted in these privacy policies, Ottawa County will treat a personal representative as the individual for purposes of these privacy policies, as provided for under Michigan law.

B. Adults and Emancipated Minors

If, under Michigan law, a person has authority to act on behalf of an individual who is an adult or an emancipated minor in making decisions related to health care, Ottawa County will treat such person as a personal representative with respect to protected health information relevant to such personal representative.

C. Unemancipated Minors

1. General Rule

If, under Michigan law, a parent, guardian, or other person acting *in loco parentis* has authority to act on behalf of an individual who is an unemancipated minor in making decisions related to health care or mental health care, Ottawa County will treat such person as a personal representative with respect to protected health information relevant to such personal representative.

2. Exception

Notwithstanding the general rule stated, above, a person will not be treated as a personal representative of an unemancipated minor, and the minor has the authority

to act as an individual, with respect to protected health information pertaining to health care services or mental health care services, if:

- a. The minor consents to such health care service or mental health care services; no other consent to such health care services or mental health care services is required by Michigan law, regardless of whether the consent of another person has also been obtained; and, the minor has not requested that such person be treated as the personal representative.
- b. The minor may lawfully obtain such health care service or mental health care service without the consent of a parent, guardian, or other person acting in loco parentis, and the minor, a court, or another person authorized by Michigan law consents to such health care service or mental health care service; or,
- c. A parent, guardian, or other person acting in loco parentis assents to an agreement of confidentiality between Ottawa County and the minor with respect to such health care service or mental health care service.

D. Deceased Individuals

If under Michigan law an executor, administrator, or other person has authority to act on behalf of a deceased individual or of the individual's estate, Ottawa County will treat that person as a personal representative under these privacy policies with respect to protected health information relevant to such person representation.

E. Abuse, Neglect, Endangerment Situations

Notwithstanding anything in these privacy policies to the contrary, Ottawa County may elect not to treat a person as the personal representative of an individual if:

1. Ottawa County has a reasonable belief that:
 - a. The individual has been or may be subjected to domestic violence, abuse, or neglect by such person; or,
 - b. Treating that person as the personal representative could endanger the individual; and
2. Ottawa County, in the exercise of professional judgment, decides that it is not in the best interest of the individual to treat the person as the individual's personal representative.

IX. DEFINITIONS

A. Authorized Member of Ottawa County's Workforce

"Authorized member of Ottawa County's workforce" means a member of Ottawa County's affected workforce who has been authorized to take the action involved by: (a)

his or her job description; (b) a protocol established by the Chief Privacy Officer; or, (c) by the Chief Privacy Officer or his/her designee.

B. Business Associate

“Business associate” means, with respect to Ottawa County, a person or other legal entity that:

1. On behalf of Ottawa County or an organized health care arrangement in which Ottawa County participates, but other than as a member of Ottawa County’s workforce, performs, or assists in the performance of:
 - a. A function or activity involving the use or disclosure of individually identifiable health information, including claims processing or administration, utilization review, quality assurance, billing, benefit management, practice management, and reprising; or,
 - b. Any other function or activity regulated by the HIPAA privacy rule; or
 - b-c. Any similar entity as may be defined under the HIPAA Final Rule.
2. Provides, other than as a member of Ottawa County’s workforce, legal, actuarial, accounting, consulting, data aggregation, management, administrative, accreditation, or financial services to or for Ottawa County, or for an organized health care arrangement in which Ottawa County participates, where the provision of such service involves the disclosure of individually identifiable health information from Ottawa County, or from another business associate of Ottawa County, to the person or legal entity.

However, in any of those situations, if a covered entity participating in a organized health care arrangement performs the function, activity or service for, on behalf of, or to the organized health care arrangement, that by itself does not make that covered entity a business associate of Ottawa County or any other covered entity participating in the organized health care arrangement.

C. Covered Entity

“Covered entity” means a health plan, a health care clearinghouse, or a health care provider that is covered by the HIPAA privacy rule.

D. Designated Record Set.

“Designated record set” means a group of records maintained by or for Ottawa County that is:

1. The medical records and billing records about individuals maintained by or for Ottawa County; or,
2. Used, in whole or in part, by or for Ottawa County to make decisions about individuals.

For purposes of this definition, the term “record” means any item, collection, or grouping of information that includes protected health information and is maintained, collected, used, or disseminated by or for Ottawa County.

E. Disclosure.

“Disclosure” means the release, transfer, provision of access to, or divulging in any other manner of information outside Ottawa County.

F. Health Care Operations.

“Health care operations” means any of the following activities of Ottawa County to the extent that the activities are related to covered functions, and any of the following activities of an organized health care arrangement in which Ottawa County participates:

1. Conducting quality assessment and improvement activities, including outcomes evaluation and development of clinical guidelines, provided that the obtaining of generalizable knowledge is not the primary purpose of any studies resulting from such activities; population-based activities relating to improving health or reducing health care costs, protocol development, case management and care coordination, contacting of health care providers and patients with information about treatment alternatives; and related functions that do not include treatment;
2. Reviewing the competence or qualifications of health care professionals, evaluating practitioner and provider performance, health plan performance, conducting training programs in which students, trainees, or practitioners in areas of health care learn under supervision to practice or improve their skills as health care providers, training of non-health care professionals, accreditation, certification, licensing, or credentialing activities;
3. Conducting or arranging for medical review, legal services, and auditing functions, including fraud and abuse detection and compliance programs;
4. Business planning and development, such as conducting cost-management and planning-related analyses related to managing and operating the entity, including formulary development and administration, development or improvement of methods of payment or coverage policies; and,
5. Business management and general administrative activities of Ottawa County, including, but not limited to:
 - a. Management activities relating to implementation of and compliance with the

requirements of these privacy policies and the HIPAA privacy rule;

- b. Customer service;
- c. Resolution of internal grievances;
- d. Due diligence in connection with the sale or transfer of assets to a potential successor in interest, if the potential successor in interest is an entity covered by the HIPAA privacy rule or, following completion of the sale or transfer, will become an entity covered by the HIPAA privacy rule; and,
- e. Consistent with the applicable requirements of Section II.B, “De-Identification of Health Information” creating de-identified health information, fundraising for the benefit of Ottawa County, and marketing for which an individual authorization is not required.

G. Health Care

“Health care” means care, services, or supplies related to the health or mental health of an individual.

“Health care” includes, but is not limited to, the following:

1. Preventive, diagnostic, therapeutic, rehabilitative, maintenance, or palliative care, and counseling, service, assessment, or procedure with respect to the physical or mental condition, or functional status, of an individual or that affects the structure or function of the body; and,
2. Sale or dispensing of a drug, device, equipment, or other item in accordance with a prescription.

H. Health Oversight Agency

“Health oversight agency” means an agency or authority of the United States, a state, a territory, a political subdivision of a state or territory, or an Indian tribe that is authorized by law to oversee the health care system (whether public or private) or government programs in which health information is necessary to determine eligibility or compliance, or to enforce civil rights laws for which health information is relevant.

“Health oversight agency” includes the employees or agents of such a public agency or its contractors or persons or entities to whom it has granted authority.

I. HIPAA Privacy Rule

“HIPAA privacy rule” means 45 CFR Part 160 and 45 CFR Part 164 as amended from time to time, [all as set forth in the HIPAA Final Rule.](#)

J. Inmate

“Inmate” means a person incarcerated in or otherwise confined to a correctional institution, including but not limited to the Ottawa County Adult Correctional Facility.

K. Law Enforcement Official.

“Law enforcement official” means an officer or employee of any agency or authority of the United States, a state, a county, a municipality, a territory, or an Indian tribe, who is empowered by law to:

1. Investigate or conduct an official inquiry into a potential violation of law; or,
2. Prosecute or otherwise conduct a criminal, civil, or administrative proceeding arising from an alleged violation of law.

L. Payment

“Payment” means the activities undertaken by Ottawa County to obtain reimbursement for the provision of health care that relate to the individual for whom health care is provided.

Payment” includes but is not limited to:

1. Determinations of eligibility or coverage (including coordination of benefits or the determination of cost sharing amounts) and adjudication or subrogation of health benefit claims;
2. Billing, claims management, collection activities, obtaining payment under a contract for reinsurance (including stop-loss insurance and excess of loss insurance) and related health care data processing;
3. Review of health care services with respect to medical necessity, coverage under a health plan, appropriateness of care, or justification of charges;
4. Utilization review activities, including precertification and preauthorization of services, concurrent and retrospective review of services; and,
5. Disclosure to consumer reporting agencies of any of the following protected health information relating to collection of premiums or reimbursement:

Name and address;

Date of birth;

Social Security number;

Payment history;

Account number;

Name and address of Ottawa County.

M. Psychotherapy Notes

“Psychotherapy notes” means notes recorded (in any medium) by a health care provider who is a mental health professional documenting or analyzing the contents of a conversation during a private counseling session or a group, joint or family counseling session and that are separated from the rest of the individual’s medical record.

“Psychotherapy notes” excludes medication prescription and monitoring, counseling session start and stop times, the modalities and frequencies of treatment furnished, results of clinical tests, and any summary of the following items: diagnosis, functional status, the treatment plan, symptoms, prognosis, and progress to date.

N. Secretary of Health and Human Services

“Secretary of Health and Human Services” means the Secretary of the United States Department of Health and Human Services or any other officer or employee of that Department to whom the authority involved has been delegated.

O. These Privacy Policies

“These privacy policies” means these privacy policies adopted by Ottawa County concerning the protection of the privacy of protected health information.

P. Treatment

“Treatment” means the provision, coordination, or management of health care and related services by one or more health care providers, including the coordination or management of health care by a health care provider with a third party; consultation between health care providers relating to a patient; or the referral of a patient for health care from one health care provider to another.

Q. Use.

“Use” means, with respect to individually identifiable health information, the sharing, employment, application, utilization, examination, or analysis of that information within Ottawa County.

R. Affected Workforce.

“Workforce” means employees, volunteers, trainees, students, and other persons whose conduct, in the performance of work for Ottawa County, is under the direct control of Ottawa County, whether or not they are paid by Ottawa County, and who are engaged in performing health care or payment activities on behalf of Ottawa County, or who have a role in administering these privacy policies.

Faxes containing patient or client records or information may be sent only by a person authorized to send Faxes.

X. AMENDMENTS.

These Policies and the County of Ottawa “Notice of Privacy Practices” may be amended, from time-to-time to comply with the requirements of the federal Health Insurance Portability and Accountability Act of 1996 (“HIPAA”), the regulations under HIPAA, any applicable Michigan law that is more stringent than the HIPAA requirements, and to assure prompt, efficient, and effective compliance with the requirements of law.

APPENDIX 1

WORKFORCE CLASSIFICATIONS NEEDING ACCESS TO PROTECTED HEALTH INFORMATION

As may be designated for the respective departments by the Ottawa County Administrator, the Ottawa County Health Officer, the Executive Director of the Ottawa County Mental Health Agency, the Ottawa County Human Resources Director, the Ottawa County Information Technology Director, and/or the Chief Privacy Officer.

APPENDIX 2

ADMINISTRATIVE, TECHNICAL AND
PHYSICAL SAFEGUARDS

None Additional

APPENDIX 3

PROTOCOLS FOR REQUESTS FOR
PROTECTED HEALTH INFORMATION

None Additional

APPENDIX 4

LOCATIONS FOR NOTICES OF PRIVACY PRACTICES

The Offices of the Ottawa County Human Resources Director, all offices of the Ottawa County Health Department, all offices of the Ottawa County Community Mental Health Agency, and such other locations as may be directed by the Ottawa County Administrator.

APPENDIX 5

PROTOCOLS FOR ROUTINE OR RECURRING
REQUESTS FOR PROTECTED HEALTH INFORMATION

None Additional

APPENDIX 6

FEES FOR PROVISION OF PROTECTED
HEALTH INFORMATION

Fees shall be charged for the provision of copies of protected health information in accordance with the Ottawa County Freedom of Information Act Policy.

EXHIBIT "C"

ELECTRONIC HEALTH INFORMATION SECURITY PROTOCOLS

1. PURPOSE

This document sets forth the electronic health information security protocols of the County of Ottawa ("Ottawa County"), and the standards by which all Ottawa County departments develop, implement, maintain and measure effective electronic health information security practices.

2. SUPERSEDING EFFECT OF THIS DOCUMENT

This document supersedes previously published Ottawa County electronic health information security protocols, to the extent of any inconsistency.

Please review current security procedures, instructions and practices in light of these protocols. Continue those practices that are consistent with these protocols. Please inform the Chief Privacy Officer immediately if you are aware of any currently used procedures, instructions or practices that may be inconsistent with these protocols.

3. BASIS FOR SECURITY PROTOCOLS

These security protocols are based on an assessment of risks to the confidentiality, availability, and integrity of protected health information maintained by Ottawa County. Security policies, and specific security procedures developed to implement those policies, are based on that risk assessment, and an analysis of the probability and criticality of identified risks, the costs of security measures, technical resources available, and other business needs.

3.1 MODIFICATION AND EXCEPTIONS

Information security is an evolving science. Over time, we can expect many changes in technology, security threats and countermeasures available to safeguard the confidentiality, availability and integrity of protected health information. It is likely that these protocols will be modified from time to time. Any modifications will be based on a re-assessment and analysis of security risks, as described in section 1.4 above.

Exceptions to these protocols may be granted in unusual or special circumstances. Exceptions are only granted with the written approval of the Chief Privacy Officer. Any exceptions will be documented in writing, with a copy retained for six (6) years.

4 SECURITY PROTOCOLS

4.1 Information Security Policy Statement

Ottawa County will identify and evaluate the likelihood and consequences of threats to the security of protected health information and implement reasonable and appropriate measures to safeguard the confidentiality, availability, and integrity of that information.

Ottawa County will adopt and implement additional information security practices as needed to effect these protocols.

To the extent necessary, the protocols herein shall also be interpreted to cover “hard” or paper copies of protected health information.

4.2 Scope

These protocols applies to all members of the affected workforce.

All independent contractors who provide services that require access to the Computer Network will be required to adhere to this policy, as well to any Procedures established to support these protocols.

4.3 Regulatory Compliance

Ottawa County will safeguard information in a manner consistent with applicable requirements of federal, state and local law and regulations, including the final rule governing the security of health information systems enacted by the Department of Health and Human Services as required by HIPAA.

5. ORGANIZATIONAL MANAGEMENT OF SECURITY PROGRAM

5.1 Chief Privacy Officer

By separate Resolution, the Ottawa County Board of Commissioners has designated a Chief Privacy Officer for Ottawa County.

The Chief Privacy Officer has overall responsibility for development and implementation of information security policies pertaining to electronically generated and stored protected health information and procedures for Ottawa County. The Chief Privacy Officer will have access to the County Administrator and other members of management, and to the Board of Commissioners of Ottawa County as needed to enable the Chief

Privacy Officer to inform the governing body of the actions and funding required to establish a reasonable and appropriate compliance program. With the agreement of the County Administrator, the Chief Privacy Officer may delegate responsibility to develop and implement particular security procedures to another person or unit of Ottawa County. The Chief Privacy Officer will oversee the work of that person or unit, which will provide information and assistance to the Chief Privacy Officer as requested.

5.2 Implementation of Technical Security Measures

The Ottawa County Information Technology Department (“the IT Department”) will work with and support the Chief Privacy Officer in the development and implementation of security measures required by these protocols.

The IT Department will report to the Chief Privacy Officer periodically, and as requested, about the status of implementation of technical security measures. In addition, the IT Department will report to the Chief Privacy Officer in a timely manner about any difficulties it experiences implementing security protocols, possible security risks, Computer Network activity, and serious security incidents.

The IT Department will oversee the deployment of a network topology and configuration that supports the requirements of this policy. It will maintain an inventory of all network resources (including but not limited to workstations, servers, routers and firewalls) to provide an accurate map of the Ottawa County infrastructure. This inventory will be used as the basis for current and future risk assessment and audits.

The IT Department will develop and implement standard Security Procedures that document acceptable and secure configurations for all network devices including workstations, servers, routers, switches and firewalls. The security procedures will support the provisions of these protocols and reflect best practices for information security.

The IT Department will provide ongoing support and maintenance of the information technology infrastructure in such a way as to support the requirements of this policy and related documents

and will ensure that administrative and technical safeguards are not modified, disabled or circumvented without the written consent of the Chief Privacy Officer.

5.3 Responsibility of Users

All users of the computer network and other information system resources provided by Ottawa County having access to protected health information are required to follow these security protocols, and accompanying policies and procedures.

Users must immediately report any suspicious, unauthorized or malicious activity involving Ottawa County information technology infrastructure to the IT Department.

Users must immediately report suspected network, hardware or software security vulnerabilities to the IT Department. Users must not attempt to demonstrate or exploit suspected security vulnerabilities; only authorized security personnel can conduct this activity.

Users may not download, or use hardware or software security or “hacker” tools while connected to the Ottawa County network unless authorized to do so by the IT Department.

6. WORKFORCE SECURITY

6.1 Personnel Screening Policy

Background checks and pre-employment validation of references will be conducted in accordance with the Policies of the Ottawa County Human Resource Department.

Ottawa County may, at its discretion, conduct additional background checks of any prospective or current member of the affected workforce who will have ongoing administrator level access to the computer network, play a key role in safeguarding the computer network or Protected health information, or have ongoing access to protected health information.

6.2 Terms and Conditions of Participation in the Workforce

The terms and conditions of employment will require adherence to these security protocols and related security procedures or requirements.

Independent contractors and volunteers who provide services to Ottawa County are required to agree to abide by these security protocols, and by related security procedures or requirements that may be established by Ottawa County.

6.3 Clear Desk and Screen Policy

All members of the affected workforce are required to remove protected health information from their desks whenever their work area is unattended and unlocked.

All workstation screens must be clear of protected health information when unattended to prevent inadvertent or deliberate viewing by unauthorized individuals.

Screensavers on all workstations will be configured to hide content on workstation screens after an idle period of fifteen (15) minutes. A password will be required to unlock the screen.

6.4 Security Awareness Training

All members of the affected workforce having access to protected health information, will participate in a training program about the need to safeguard protected health information and these security protocols.

The Chief Privacy Officer, working with the IT Department, will develop a security awareness program to remind the Workforce of these policies and Ottawa County procedures for safeguarding protected health information. Security reminders will be distributed to all members of the affected workforce at reasonable intervals.

6.5 Technical Security Training

Personnel directly involved in the design, deployment, maintenance and security of the information technology infrastructure will be given security specific training to enable them to develop the expertise necessary to maintain that infrastructure in a manner consistent with the provisions of this policy and best practices for information security.

6.6 Verification of Authority

Ottawa County employees will verify the identity and authority of all individuals requesting information about, or access to, the Ottawa County information technology infrastructure.

Suspicious requests should be immediately reported to the IT Department .

6.7 Sanctions for Non-Compliance

Members of the workforce who knowingly violate these security protocols or related security procedures will be subject to sanction by Ottawa County. Employees will be subject to disciplinary action up to and including dismissal. Non-employees may be subject to termination of their business relationship with Ottawa County.

6.8 Termination of Access Privileges

The Human Resources Department will immediately notify the IT Department when a member of the affected workforce has been separated from service due to retirement, resignation, or termination. If supervisors have advance notice of a termination, they will immediately inform the IT Department of the effective date of termination.

When notified of a termination, the IT Department will disable all the individual's access to the computer network.

7. RISK ASSESSMENT, ANALYSIS & MANAGEMENT

7.1 Risk Assessment

Ottawa County will conduct risk assessments to identify threats to the security of the computer network and the confidentiality, availability, and integrity of its information. Risk assessments will be made periodically, to enable Ottawa County to make informed decisions about measures to be used to safeguard its computer network and protected health information, based on accurate and current information.

Ottawa County decisions about the design, deployment, maintenance, administration and growth of the information technology infrastructure will be guided by its security risk assessments.

7.2 Risk Assessment & Analysis Procedure

The Chief Privacy Officer will be responsible for developing and implementing a documented risk assessment procedure, taking into consideration existing models for risk assessment and best practices at the time of each assessment.

The Chief Privacy Officer may solicit input from each department of Ottawa County having access to protected health information to ensure that the risk assessment identifies all critical information assets and resources, considers all existing and proposed technologies (and the life cycle of those technologies), and the security interests of the entire organization.

The Chief Privacy Officer may choose to establish a small representative work group of subject matter experts to advise the risk assessment and analysis process. Department heads and supervisors will cooperate in this process.

The risk assessment will identify all key information assets, potential threats to those assets, and the likelihood and consequences of loss of confidentiality, integrity or availability of information. The Chief Privacy Officer and others involved in the risk assessment may recommend actions to be taken by Ottawa County to ensure that reasonable and appropriate measures are in place to safeguard protected health information.

Risk assessment will be an ongoing process. A full risk assessment and analysis will be conducted periodically, in accordance with a schedule established by the Chief Privacy Officer and approved by the IT Director.

7.3 Data Classification

In the course of the conduct of risk assessment, the Chief Privacy Officer may assure that records are appropriately classified and safeguarded based upon the need for confidentiality, availability and integrity of the protected health information.

7.4 Risk Management

Ottawa County will utilize a comprehensive risk management program to protect the confidentiality, integrity and availability of electronic health information. The components of that program may include physical and logical security mechanisms developed, implemented, maintained, and updated following a documented risk assessment process.

8 ACCESS CONTROL

8.1 Minimum Necessary Standard

Users will be granted privileges to access protected health information only to the extent necessary to enable users to perform their work for Ottawa County. This principle applies to protected health information in both electronic and printed form.

Access privileges will be enforced by technical controls in the computer network to the extent feasible.

8.2 Unique User Identification

All users, including administrators and vendors, will be assigned unique user IDs in order to produce a reliable audit trail.

8.3 Remote Access

Remote access to the computer network will be granted only to those individuals with a legitimate business need for such access.

Remote access will only be allowed using computing devices approved for use by the IT Department and configured according to procedures established by the IT Department.

At the discretion of the IT Department and the Chief Privacy Officer, Ottawa County may implement technical methods to safeguard against unauthorized remote access and interception of data.

Remote users may not establish multiple, simultaneous connections to the computer network, unless authorized by the Chief Privacy Officer.

8.4 Remote Access Request Procedure

All requests for remote access to the computer network must be submitted to the IT Department. The appropriate supervisor of the person seeking remote access must approve the request.

All requests for remote access, and supervisor approval of requests, must be documented in written or electronic form. The IT Department will retain copies of remote access requests.

Remote access rights will be granted based on the availability of system resources. Any dispute regarding a remote access request will be resolved by the Chief Privacy Officer.

8.5 User Access Privileges

Individual user access privileges may be modified from time to time, as users change assignments, departments and/or locations. The IT Department will periodically review access privileges against user work assignments to verify the appropriateness of access rights granted to members of the affected workforce. All access privileges found to exceed those commensurate with a user's current assignment will be suspended until the user's access privileges are validated by the appropriate supervisor.

9. APPROPRIATE USE OF COMPUTER NETWORKS

9.1 Business Purposes

The computer network made available to users is intended for use to support the business of Ottawa County and to help users do their work.

The computer network is to be used for business purposes only.

9.2 Adherence to Ottawa County Policies

Users will comply with the Computer Access by Non-Employees Policy, the Computer Software Privacy Policy, the Electronic Mail and Privacy Use Policy, the Internet Use Policy, and other Ottawa County policies pertaining to computer use.

10. NETWORK ARCHITECTURE

The computer network will be designed, implemented, maintained and secured in a manner consistent with best practices for information security and the policies of Ottawa County in order to safeguard protected health information that is stored on or traverses the network.

10.1 Security Gateways

The computer network will be segregated and protected from all “open” networks including the Internet and extranets by appropriate gateways, firewalls and other security devices.

10.2 Architectural Reviews

The IT Department will periodically review the network topology and identify vulnerabilities and areas of risk introduced by changes in topology, new technologies and then current information about security threats and available countermeasures.

10.3 Device Configuration

Network components, including routers, switches and firewalls, will be configured in a manner consistent with Best Practices for Information Security.

10.4 Wireless Networks

Wireless (802.11a, b, g, Bluetooth®, etc.) access to the Ottawa County information security infrastructure, or the addition of wireless Local Area Networks (LANs) are prohibited unless specifically approved by the Chief Privacy Officer.

11. SYSTEM SECURITY

11.1 Server Configuration

Servers will be configured, deployed, maintained and administered in a manner consistent with best practices for information security and in accordance with guidelines established by the IT Department.

11.2 System Administration Privileges

System administration privileges will be given only to those individuals with a verifiable and approved business need for such privilege levels.

System Administrators must be registered with the IT Department.

11.3 Application Level Security

All applications deployed on the Ottawa County computer network shall be designed, deployed, configured, maintained and secured in a manner consistent with best practices for information security, the provisions of this policy and the requirements established by the IT Department.

Application level security controls, including access lists and privilege levels, will be activated and updated as necessary.

11.4 Workstation Security

All workstations will be configured, deployed, maintained and secured in a manner consistent with best practices for information security and in accordance with the provisions of this policy and the requirements established by the IT Department.

All workstations will be configured to automatically lock after a period of inactivity of no more than fifteen (15) minutes. A user ID and password will be necessary to unlock timed-out workstations.

Workstation configurations will be locked by an administrator level password to prevent inadvertent or deliberate disabling of security settings.

Multiple Network Interface Cards (NICs) are prohibited to prevent simultaneous network connections, unless specifically authorized by the Chief Privacy Officer.

The use of dial-up modems and remote control software (pcAnywhere® Netop®, etc.) must be approved by the Chief Privacy Officer.

11.5 Patch Management

Software patches to safeguard the security of operating systems, applications, servers, workstations and network devices will be kept current and continuously maintained.

The IT Department will develop and implement a documented Patch Management Procedure.

Users will cooperate with the IT Department in efforts to maintain patch levels of portable computers or other portable devices.

11.6 Malicious Software Control

All workstations and all appropriate servers will have current “malware” protection enabled to prevent infections by: computer worms, viruses, Trojan horses, “spyware” and other malicious software.

All incoming electronic mail will be filtered for the presence of malware, malicious executable files and other damaging content.

11.7 Audit Controls

The IT Department will implement mechanisms to record and examine activity in the computer network, and to monitor access to protected health information. Intrusion detection software will be installed to monitor attempts to access the computer network from outside. Audit logs will be reviewed regularly to identify possible security incidents.

The IT Department will report any security incident to the Chief Privacy Officer. Any systematic attack on or compromise of the computer network will be reported immediately.

12 *USER IDENTIFIERS AND PASSWORDS*

12.1 Password Sharing

Passwords are confidential and must not be shared with others.

12.2 Initial Use of Password

All authorized users will be issued a temporary password. The first time a user logs into a system they will be prompted to change that password to something they select.

12.3 Password Policy

Users will follow the IT Department policy on the selection and use of passwords.

13. *DATA BACK-UP*

13.1 Data Back-Up Procedure

The IT Department will develop and implement a data back-up procedure and schedule for all critical Ottawa County systems.

13.2 Data Back-Up Frequency

Data will be backed-up and archived at sufficient intervals to ensure critical data can be restored and recovered.

13.3 Storage of Back-Up Media

Back-up media will be stored in a manner consistent that protects it from tampering, theft, fire and flood. Off-site storage of back-up media will be utilized whenever possible.

14 *DISPOSAL OF HARDWARD AND ELECTRONIC MEDIA*

14.1 Sanitizing Hard Disk Drives

The IT Department will remove or sanitize all hard disk drives before any Ottawa County computer may be reissued, sold or discarded.

14.2 Storage of Retired Hard Disk Drives

Removed hard drives will be stored in a secured area until they can be sanitized or destroyed

14.3 Removable Media

All removable media including floppy disks, CDs, DVDs, cassettes and flash drives, that may contain protected health Information must be destroyed or sanitized in a manner consistent with requirements established by the IT Department before being discarded.

15. PHYSICAL SECURITY

Ottawa County recognizes the importance of reasonable and prudent physical security measures to protect the safety of our employees and to safeguard our information technology infrastructure.

15.1 Identification Badges

All members of the affected workforce having access to secure access containing protected health information will wear and display an identification badge issued by Ottawa County.

Anyone not displaying the required identification badge should be challenged.

15.2 Facility Access Controls

Access to all areas containing critical components of the Ottawa County information technology infrastructure will be restricted to those with a verifiable and approved business need to have such access.

15.3 Physical Security of Network Access Points

“Wiring closets” and other areas that can afford access to the Ottawa County information technology infrastructure via jacks, switches, hubs, routers or other components must be secured at all times.

15.4 Disabling Physical Security Safeguards

The disabling or circumvention of physical security measures is prohibited.

15.5 Computer Screen Positioning

Users will position computer screens in a manner that prevents accidental display of protected health information to unauthorized individuals.

16. BUSINESS CONTINUITY PLANNING

Ottawa County wishes to ensure that it will be able to continue its business and access its information without unacceptable delay in the event of an emergency or other occurrence (for example, fire, vandalism, system failure, and natural disaster) that damages its computer network. To that end, Ottawa County will establish and routinely test a documented business continuity and disaster recovery plan.

The Chief Privacy Officer will be responsible for developing the business continuity and disaster recovery plan.

The plan will:

- Establish procedures for emergency access to facilities;
- Identify applications and data that are critical to resumption of business operations;
- Identify sources for most recent backup copies of data;
- Identify “mirror” sites (if any) to be used to enable immediate resumption of business activities;
- In the absence of a “mirror” site, identify “hot” or “warm” sites that will provide computer resources required to enable resumption of business activities;
- Establish procedures to restore lost data;
- Establish procedures for operation of critical business processes pending full recovery from the emergency;
- Establish procedures to safeguard protected health information pending full recovery from the emergency.

The Chief Privacy Officer will review the Business Continuity and Disaster Recovery Plan periodically, test the procedures described in the plan, and revise the plan as needed.

17. SECURITY INCIDENT REPORTING

All users share in the responsibility of protecting protected health information. Users must report any suspicious, unauthorized or malicious activity that might affect the security of the computer network or the confidentiality, availability or integrity of [rotected health information to the IT Department as soon as it is discovered.

17.1 Incident Response Procedure

The IT Department will develop a documented incident response procedure that is designed to identify and isolate suspicious activity and contain, and recover from, network damage resulting from any security incident.

17.2 Computer Incident Response Team (CIRT)

The IT Department will establish a Computer Incident Response Team (CIRT) to respond to major security incidents. The CIRT will have sufficient training to understand the basic principles of investigation of security incidents and preservation and management of forensic evidence.

18. INTERNAL AUDITS

18.1 Scope and Frequency

The Chief Privacy Officer will conduct periodic audits of administrative, physical and technical safeguards of protected health information to ensure that those safeguards are adequate, consistent and enforced. The IT Department will assist the Chief Privacy Officer in the conduct of these audits.

The audits will be conducted with sufficient scope and frequency to provide a meaningful benchmark of compliance to this policy.

18.2 Audit Findings

The Chief Privacy Officer will provide summaries of audit findings to the Ottawa County Administrator.

18.3 Cooperation with Internal Audits

All members of the affected workforce, as well as vendors and consultants, are required to cooperate with security audits.

19. DESIGNATION OF IT DIRECTOR

For purposes of technical compliance, the IT Director may function in the capacity of the “Chief Privacy Officer” under these Security Protocols.

GLOSSARY

The following definitions apply to terms used in these Security Protocols:

Availability means ensuring that information and vital services are accessible when required.

Best Practices for Information Security means commonly accepted and effective approaches to securing Computer Networks that are reasonable and appropriate for Ottawa County to implement, based on its security risk assessment, the likelihood and consequences of a breach of security, resources available, and cost.

Computer Network is the local and wide area networks, computers, communications devices, software systems, applications, electronic mail, and other systems operated by or on behalf of [Abbreviated Name] that are used to enable Ottawa County and Users to store, process, and use information in electronic form, and to facilitate communications among members of the Affected Workforce and to third parties. The Computer Network includes the Information Technology Infrastructure.

Firewall means a computing device that controls by protocol, source and destination the traffic between two networks or network segments.

Gateway means a computing device that separates a private network from an open network (like the Internet) and controls the type of electronic traffic that passes through it. May be a firewall, router or other device.

Information Technology Infrastructure means the physical, logical and support components of a Computer Network including computers, software, databases, network devices, cabling, telephony systems, power supplies and environmental controls.

Integrity means safeguarding the accuracy and completeness of information and computer software and services.

Policy means a high-level and broad statement of goal, principle, intent, position, plan or course of action made to govern and guide the administration of operations and programs.

Procedure means a specific set of administrative or technical instructions that provides the high level of detail required to implement and support a policy.

Proprietary Information means trade secrets and information about the business plans, finance, legal status, or operation of Ottawa County that is not in the public domain, access to, use, and disclosure of must be controlled by Ottawa County.

Risk Assessment means a formal process conducted to identify and quantify potential threats to an organization and the costs and benefits of developing methods of mitigating those threats.

Security Incident means an attempt that creates a substantial risk of or successful unauthorized access, use, disclosure, modification, or destruction of information or interference with system operations. This does not include trivial incidents that occur on a daily basis, such as scans, “pings”, or unsuccessful attempts to penetrate Computer Networks or servers.

User means a member of the Workforce of other person that accesses, utilizes, modifies or otherwise manipulates information stored on computers operated by or on behalf of [Abbreviated Name].

Virtual Private Network (VPN) means a remote connection method that facilitates secure connectivity to closed network by creating an encrypted path through an open network like the Internet.

Affected Workforce means directors, officers, employees, volunteers, trainees, and other persons (i) whose conduct, in the performance of work is under the control of Ottawa County and (ii) who have access to protected health information, in the course and scope of their duties.

Action Request



Committee: Board of Commissioners

Meeting Date: 10/12/2021

Requesting Department: Administration

Submitted By: Regina MacMillan

Agenda Item: Drone Purchase and Usage Policy

Suggested Motion:

To approve the Drone Purchase and Usage Policy for review and comment (second reading).

Summary of Request:

County policies require periodic review and updates. This request is to review the Drone Purchase and Usage Policy for a first and second reading before final approval.

Financial Information:

| | | | | | |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|
| Total Cost: \$0.00 | General Fund Cost: \$0.00 | Included in Budget: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input checked="" type="checkbox"/> N/A |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|

If not included in budget, recommended funding source:

Action is Related to an Activity Which Is: Mandated Non-Mandated New Activity

Action is Related to Strategic Plan:

Goal: Goal 4: To Continually Improve the County's Organization and Services.

Objective: Goal 4, Objective 1: Conduct activities and maintain systems to continuously improve to gain efficiencies and improve effectiveness.

Administration: Recommended Not Recommended Without Recommendation

County Administrator:

Committee/Governing/Advisory Board Approval Date: 09/21/2021

Planning and Policy Committee

09/30/2021

Board of Commissioners

Drone Purchase and Usage Policy

I. Policy

The safety and security of Ottawa County citizens and property are of importance to the County as is an abatement of any liability that might ensue to the taxpayers from County operations. As a result, no department, officer, agent, or employee may purchase or use a drone, subject to the following exceptions:

- A. The drone usage is by the Sheriff's Office subject to the Sheriff's policies on drone usage; or*
- B. The Administrator expressly authorizes the purchase, including the reasons for its use; and*
- C. The drone's registration and other identifying data and purpose are filed with the Ottawa County, Michigan Insurance Authority for insurance purpose; and*
- D. Any operator has adequate training, knowledge and demonstrated ability to use the drone; and*
- E. Efforts are made, if possible, to minimize drone usage over private property or citizens.*

II. Statutory References

MCL 46.11(l) authorizes the County Board of Commissioners to make rules and regulations regarding County Property.

III. County Legislative or Historical References

Board of Commissioners Resolution Number and Policy Adoption Date:

Board of Commissioners Review Date and Resolution Number:

Name and Date of Last Committee Review:

Last Review by Internal Policy Review Team: August 5, 2021

IV. Procedure

To ensure compliance with this policy, County officers, agents and employees should advise individuals who appear to be violating the restriction and to refer any enforcement to the Administrator or Corporation Counsel.

V. Review Period

The Internal Policy Review Team will review this Policy at least once every two years, and will make recommendations for changes to the Planning & Policy Committee.

Action Request



| | |
|-------------------------------|--|
| Committee: | Board of Commissioners |
| Meeting Date: | 10/12/2021 |
| Requesting Department: | Administration |
| Submitted By: | Regina MacMillan |
| Agenda Item: | Photography in County Buildings Policy |

Suggested Motion:

To approve the Photography in County Buildings Policy (second reading).

Summary of Request:

County policies require periodic review and updates. This request is to review the Photography in County Buildings policy for a first and second reading before final approval.

Financial Information:

| | | | | | |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|
| Total Cost: \$0.00 | General Fund Cost: \$0.00 | Included in Budget: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input checked="" type="checkbox"/> N/A |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|

If not included in budget, recommended funding source:

Action is Related to an Activity Which Is: Mandated Non-Mandated New Activity

Action is Related to Strategic Plan:

Goal: Goal 4: To Continually Improve the County's Organization and Services.

Objective: Goal 4, Objective 1: Conduct activities and maintain systems to continuously improve to gain efficiencies and improve effectiveness.

Administration: Recommended Not Recommended Without Recommendation

County Administrator:

Committee/Governing/Advisory Board Approval Date: 09/21/2021

Planning and Policy Committee

09/30/2021

Board of Commissioners

Photography in County Buildings Policy

I. Policy

The safety and security of Ottawa County personnel and its buildings, including those facilities' grounds, plans, configurations and features are of paramount importance because of the secured or confidential nature of many operations conducted therein, including but not limited to health, judicial, law enforcement, public record compilation activities and data storage. As a result, no officer, agent, employee or member of the public may photograph the interior of County facilities or make any photostatic copy or other reproduction of any County building plans, subject to the following exceptions:

- A. The person is photographing a publicly noticed meeting, gathering or hearing;*
- B. The person is photographing individuals with their consent and if the focus of the photograph is the individual(s) and not on any security or other feature of the facility;*
- C. The photograph is being taken with a camera that is not physically located on or above County Property; or*
- D. The person has received the express permission of the County Administrator.*

II. Statutory References

MCL 46.11(l) authorizes the County Board of Commissioners to make rules and regulations regarding County Property.

III. County Legislative or Historical References

Board of Commissioners Resolution Number and Policy Adoption Date:

Board of Commissioners Review Date and Resolution Number:

Name and Date of Last Committee Review:

Last Review by Internal Policy Review Team: August 5, 2021

IV. Procedure

To ensure compliance with this policy, County officers, agents and employees should advise individuals who appear to be violating the policy of the photograph restriction and to refer any enforcement to the Ottawa County Sheriff's Department or Corporation Counsel. The County Administrator is directed to arrange for the procurement, installation and maintenance of appropriate signage notifying persons of this Policy

V. Review Period

The Internal Policy Review Team will review this Policy at least once every two years, and will make recommendations for changes to the Planning & Policy Committee.

Action Request



Committee: Board of Commissioners
Meeting Date: 10/12/2021
Requesting Department: County Clerk/Register of Deeds
Submitted By: Regina MacMillan
Agenda Item: Appointment to Board of County Canvassers

Suggested Motion:

To place into nomination the name(s) of (*indicates recommendation from the County Clerk/Register):

*James Searls
Jan Gwadacus
Joan Deters

and to select one (1) to fill one (1) Democratic Party Appointment vacancy on the Board of County Canvassers beginning November 1, 2021 and ending October 31, 2025 (four (4) year term).

Summary of Request:

The Board of Commissioners makes appointments to the various Boards and Commissions of the County per Administrative Policy – Appointments to Boards and Commissions.

There are two members of the Board of County Canvassers whose terms are expiring on November 1 of this year. The terms expiring this year are those of Joan Deters (DEM) and Laurie Breuker (REP). The two major political party organizations in the County are required to each submit three names for their respective party appointment.

*The County Clerk/Register's recommendation for the Democratic appointee is James Searls.

Financial Information:

| | | | | | |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|
| Total Cost: \$0.00 | General Fund Cost: \$0.00 | Included in Budget: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input checked="" type="checkbox"/> N/A |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|

If not included in budget, recommended funding source:

Action is Related to an Activity Which Is: Mandated Non-Mandated New Activity

Action is Related to Strategic Plan:

Goal: Goal 3: To Maintain and Enhance Communication with Citizens, Employees, and Other Stakeholders.

Objective: Goal 3, Objective 4: Evaluate communication with other key stakeholders.

Administration: Recommended Not Recommended Without Recommendation

County Administrator:

Committee/Governing/Advisory Board Approval Date:

Action Request



Committee: Board of Commissioners
Meeting Date: 10/12/2021
Requesting Department: County Clerk/Register of Deeds
Submitted By: Regina MacMillan
Agenda Item: Appointment to Board of County Canvassers

Suggested Motion:

To place into nomination the name(s) of (*indicates recommendation from the County Clerk/Register):

*Laurie Breuker
Cynthia Davis
Laurette DeBoer

and to select one (1) to fill one (1) Republican Party Appointment vacancy on the Board of County Canvassers beginning November 1, 2021 and ending October 31, 2025 (four (4) year term).

Summary of Request:

The Board of Commissioners makes appointments to the various Boards and Commissions of the County per Administrative Policy – Appointments to Boards and Commissions.

There are two members of the Board of County Canvassers whose terms are expiring on November 1 of this year. The terms expiring this year are those of Joan Deters (DEM) and Laurie Breuker (REP). The two major political party organizations in the County are required to each submit three names for their respective party appointment.

*The County Clerk/Register's recommendation for the Republican appointee is Laurie Breuker.

Financial Information:

| | | | | | |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|
| Total Cost: \$0.00 | General Fund Cost: \$0.00 | Included in Budget: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input checked="" type="checkbox"/> N/A |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|

If not included in budget, recommended funding source:

Action is Related to an Activity Which Is: Mandated Non-Mandated New Activity

Action is Related to Strategic Plan:

Goal: Goal 3: To Maintain and Enhance Communication with Citizens, Employees, and Other Stakeholders.

Objective: Goal 3, Objective 4: Evaluate communication with other key stakeholders.

Administration: Recommended Not Recommended Without Recommendation

County Administrator: *John Smith*

Committee/Governing/Advisory Board Approval Date:



Ottawa County

Clerk | Register of Deeds

Justin F. Roebuck
County Clerk | Register of Deeds

Sherri A. Sayles
Chief Deputy County Clerk

Rachel A. Sanchez
Chief Deputy Register of Deeds

MEMORANDUM:

TO: Ottawa County Board of Commissioners

FROM: Justin F. Roebuck, Ottawa County Clerk

RE: Clerk Recommendations for Appointment to Board of County Canvassers

On November 1, 2021 the appointment of two members of the Board of County Canvassers will expire: one Republican seat, currently occupied by Laurie Breuker, and one Democratic seat, currently occupied by Joan Deters.

In accordance with Michigan election law, the Board of County Commissioners must make an appointment of one Democratic and one Republican member to fill the new term, which begins on November 1, 2021. MCL 168.24c requires the two county political party committees to submit three names in nomination for the appointment, and the Board must choose between those names. I have attached, for your reference, the submission of names from the Ottawa County Democratic and Republican Party chairs.

My office works extremely closely with the Board of County Canvassers in the certification of every election, and I see firsthand the importance of having competent and experienced individuals in this role. Canvassers meet after every election to perform a thorough audit and examination of each poll book in the County, ensuring that the totals from election night match the number of ballots and voters in each precinct. They serve as a critical check and balance upon our elections process, and in an environment where there is increased scrutiny of the democratic process, their work is all the more vital.

I would like to submit to you my recommendations for these positions based upon my knowledge of the expertise, dedication, and experience of the candidates.

For the Republican Party appointment, I recommend Laurie Breuker of Georgetown Township. Laurie is a veteran County Clerk, who served Newaygo County for nearly 30 years and came to us with intimate knowledge of the canvassing and elections process. She is currently serving in the role of Chair on the Board, a position that she held in November of 2020 as well. Her leadership was critical in the completion of a very successful canvass of the Presidential Election. Her ability to navigate the administrative challenges of the canvass and certification of a large election, combined with her knowledge base, confidence, and ability to speak with members of the public about the role of the canvassers was a vital part of the success of the 2020 election in Ottawa County.

For the Democratic Party appointment, I recommend Jim Searls of the City of Zeeland. Jim has served for a number of years in the role of substitute on the Board for the Democratic Party. He has direct and solid knowledge of the process from his years filling in for other members, and he exhibits the confidence and level-headed judgement that is so important to this role. Jim also served during the 2020 cycle, filling in during the canvass of the Presidential Election.

Thank you for your consideration of these recommendations. I have every confidence that Laurie and Jim will serve our residents well in the essential role of certifying our elections and upholding the integrity of that process. Please don't hesitate to reach out to me if you have any questions or concerns, or if there is any additional information that our office can provide.

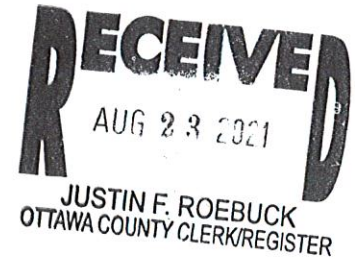


59 W Washington Suite K
Zeeland, MI
49464
(616) 396-3791

OttawaGOP.org

Wednesday, August 18, 2021

Ottawa County Elections
ATTN: Katie Sims, Elections Coordinator
12220 fillmore St, Room 130
West Olive, MI 49460



I would like to submit the names of Laurie Breuker, Cynthia Davis and myself, Laurette DeBoer for consideration for appointment to the Ottawa County Board of County Canvassers. Thank you for your consideration.

Rett DeBoer Chair

A handwritten signature in black ink that reads "Rett De Boer".

Ottawa County Republicans

Justin Roebuck

From: Tim Smith <coolbreeze031@gmail.com>
Sent: Thursday, September 9, 2021 5:49 PM
To: Justin Roebuck
Subject: Board of Canvassers...

Good afternoon Clerk Roebuck,

It is with great pleasure that I submit the following names for recommendation to the Ottawa County Board of Canvassers:

James Searls - Board
Jan Gwadacus - 1st Alternate
Joan Deters - 2nd Alternate

Please consider this the Ottawa County Democratic Party's official response.

Thanks,

--Tim

Action Request



Committee: Board of Commissioners
Meeting Date: 10/12/2021
Requesting Department: County Clerk/Register of Deeds
Submitted By: Regina MacMillan
Agenda Item: Appointment to the Ottawa County Jury Board

Suggested Motion:

To nominate and appoint Kim Nagy to the Ottawa County Jury Board representing the Democratic Party for a six (6) year term, beginning immediately and ending April 30, 2027.

Summary of Request:

Chief Judge Jon Van Allsburg has recommended that Kim Nagy be appointed to the Jury Board.

Financial Information:

| | | | | | |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|
| Total Cost: \$0.00 | General Fund Cost: \$0.00 | Included in Budget: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input checked="" type="checkbox"/> N/A |
|--------------------|---------------------------|---------------------|------------------------------|-----------------------------|---|

If not included in budget, recommended funding source:

Action is Related to an Activity Which Is: Mandated Non-Mandated New Activity

Action is Related to Strategic Plan:

Goal: Goal 1: To Maintain and Improve the Strong Financial Position of the County.

Goal 2: To Contribute to the Long-Term Economic, Social and Environmental Health of the County.

Goal 3: To Maintain and Enhance Communication with Citizens, Employees, and Other Stakeholders.

Goal 4: To Continually Improve the County's Organization and Services.

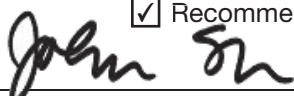
Objective: Goal 1, Objective 1: Maintain and improve current processes and implement new strategies to retain a balanced budget.

Goal 1, Objective 2: Maintain and improve the financial position of the County through legislative advocacy.

Goal 3, Objective 4: Evaluate communication with other key stakeholders.

Goal 4, Objective 4: Examine opportunities for increased cooperation and collaboration with local government and other partners.

Administration: Recommended Not Recommended Without Recommendation

County Administrator: 

Committee/Governing/Advisory Board Approval Date:

JON A. VAN ALLSBURG
CHIEF CIRCUIT JUDGE
FAMILY DIVISION / BUSINESS COURT

STATE OF MICHIGAN



TWENTIETH JUDICIAL CIRCUIT COURT
OTTAWA COUNTY

September 28, 2021

Roger Bergman, Chairperson
Ottawa County Board of Commissioners
12220 Fillmore Street
West Olive, MI 49460

RE: Jury Board

Dear Chairman Bergman:

It is my pleasure to recommend Kim Nagy for appointment to the Jury Board for Ottawa County for the balance of the six-year term expiring April 30, 2027. I am advised that Ms. Nagy is experienced and well qualified for the position.

If you have any questions, please feel free to contact me.

Sincerely,

A handwritten signature in black ink, appearing to read "Jon A. Van Allsburg", with a large, stylized flourish extending from the end of the signature.

Jon A. Van Allsburg,
Chief Judge
20th Circuit Court for Ottawa County